



CITY OF SUNNYVALE

CONSOLIDATED ANNUAL PERFORMANCE EVALUATION REPORT (CAPER)

*For Fiscal Year 2011-12:
July 1, 2011 - June 30, 2012*

***Community Development Block Grant (CDBG) and
Home Investment Partnership Program (HOME)***

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PROGRAM YEAR END: 06/30/12

GRANT NUMBER: B11MCO60023

NAME/ADDRESS OF GRANTEE

City of Sunnyvale
456 w. Olive Ave.
P.O. Box 3707
Sunnyvale, CA 94088-3707

NAME/ADDRESS OF C.D. DIRECTOR

Hanson Hom
456 W. Olive Ave.
P.O. Box 3707
Sunnyvale, CA 94088-3707

**NAME AND TELEPHONE NUMBER
OF PERSON MOST FAMILIAR WITH
INFORMATION IN THIS REPORT**

Katrina L. Ardina
(408) 730-7451

**NAME AND TELEPHONE NUMBER
OF PERSON TO CONTACT ABOUT
DISCLOSURES REQUIRED BY THE
HUD REFOR ACT OF 1989**

Suzanne Isé
(408) 730-7698

Have these Community Development Block Grant (CDBG) funds been used:

- a. to meet the community development program objectives in the final statement for this program year? If no, explain, in narrative attachment, how: (1) the uses did not relate to program objectives; and (2) future activities or program objectives might change as a result of this year's experiences. Yes

- b. exclusively to either benefit low-and-moderate (low/mod) income persons, aid in the prevention or elimination of slums or blight, or meet community development needs having a particular urgency? If no, explain in a narrative attachment. Yes

- c. such that the grantee has complied with, or will comply with, its certification to expend not less than 70% of its CDBG funds, during the specified period, on activities which benefit low/mod income persons? If no, explain in a narrative attachment. Yes

Were citizen comments about this report and/or the CDBG program received? If yes, attach a summary. No

Indicate how the Grantee Performance Report was made available to the public:

- a. BY PRINTED NOTICE (name & date) *Sunnyvale Sun-Sept. 7, 2012*
- b. BY PUBLIC HEARING (place & date) *West Conference Room, City Hall, City of Sunnyvale, 456 West Olive Avenue, Sunnyvale, CA. September 26, 2012*
- c. OTHER: *City Housing website: Housing.inSunnyvale.com*

I hereby certify that: This report contains all required items identified above; Federal assistance made available under the Community Development block Grant Program (CDBG) has not been utilized to reduce substantially the amount of local financial support for community development activities below the level of such support prior to the start of the most recently completed CDBG program year; all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18U.S.C.1001,1010,1012; U.S.C. 3729, 3802)

Typed Name & Title of
Authorized Official Representative

Gary Luebbers
City Manager


Signature

9-25-12
Date

FISCAL YEAR 2011 CAPER

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EXECUTIVE SUMMARY

This Consolidated Annual Performance Evaluation Report (CAPER) was prepared for the City of Sunnyvale by the Housing Division of its Community Development Department, in compliance with U.S. Department of Housing and Urban Development (HUD) requirements. The CAPER is a federally mandated document that evaluates the City's progress and performance in meeting the priority activities identified in its Consolidated Plan. The document is a tool used by HUD and the City to evaluate accomplishments and actions taken during the previous program year.

This CAPER assesses the City of Sunnyvale's second year of progress implementing its 2010-2015 Consolidated Plan, focusing on the goals and programs identified in the 2011-12 Action Plan, as well as any activities that were continued from prior program years.

Summary of the Consolidated Plan Process

The City of Sunnyvale receives annual grants of federal Community Development Block Grant (CDBG) and HOME Investment Partnerships (HOME) funds from the United States Department of Housing and Urban Development (HUD). HUD provides these funds to the City for various activities benefiting low income persons and areas, such as affordable housing, public services, public improvements, and other community development activities.

As a recipient of these funds, the City is required to prepare a five-year strategic plan called a Consolidated Plan. The Consolidated Plan identifies the housing and community development needs of lower income persons and areas within the City, prioritizes these needs, identifies resources to address them, and establishes annual goals and objectives to meet the priority needs. As part of the Consolidated Planning process, the City is required to produce an Action Plan for each fiscal year of the Consolidated Plan. The Action Plan establishes the community's objectives for meeting the needs described in the Consolidated Plan; identifies resources available within the community to meet Consolidated Plan goals; and describes a one-year plan and budget for the intended use of the City's CDBG and HOME funds, and any other HUD funds that may be available. After the end of the fiscal year, the City prepares a Consolidated Annual Performance and Evaluation Report (CAPER) to report the City's progress in meeting the goals and priorities in its Consolidated Plan.

In FY 2011-12, the City met most of the annual goals that were identified in its 2011-12 Action Plan and/or Strategic Plan.¹ The CAPER focuses on projects and programs funded with CDBG and HOME funds.

The City of Sunnyvale CAPER for FY 2011-12 was prepared with input from local non-profit agencies, the Housing and Human Services Commission, and interested members of the public. Written comments were encouraged and all comments submitted have been addressed within the CAPER.

¹ The Strategic Plan is a part of the City's Consolidated Plan, and it identifies the use of available resources to meet the needs identified in the Consolidated Plan.

The CAPER consists of four main parts, as required by HUD:

- ❖ Part I: Narrative Statements summarizing one-year goals and objectives
- ❖ Part II: HOME Annual Performance Report
- ❖ Part III: Financial Summary Report of financial resources and expenditures
- ❖ Part IV: Tables and IDIS Reports of accomplishments

PUBLIC REVIEW PROCESS

Public Review of the Consolidated Annual Performance and Evaluation Report

The following public notice was published in the Sunnyvale SUN on September 7, 2012 indicating the start of the review and comment period for the City of Sunnyvale's Consolidated Annual Performance and Evaluation Report for PY2011-12. The Housing and Human Services Commission held a public hearing on September 26, 2012, for consideration of the draft CAPER.

NOTICE OF 15-DAY PUBLIC REVIEW AND COMMENT PERIOD FOR SUNNYVALE'S CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT FOR THE PERIOD JULY 1, 2011 TO JUNE 30, 2012

Notice is hereby given that the City of Sunnyvale has completed a draft performance report for the Community Development Block Grant (CDBG) and HOME Investment Partnership Programs for Fiscal Year 2011-12. The Draft Consolidated Annual Performance and Evaluation Report (CAPER) is available for public review and comment prior to its submittal to the U.S. Department of Housing and Urban Development.

The CAPER documents the City's progress in carrying out the activities identified in the Action Plan and in meeting the goals and objectives of the Consolidated Plan under the CDBG and HOME Programs.

PUBLIC REVIEW and COMMENT PERIOD: The draft CAPER will be available for public review and comment for a 15-day period, beginning on Monday, September 10, 2012 and concluding at 8:00 a.m. on Thursday, September 27, 2012. Written comments may be submitted during the review period and should be sent to the City of Sunnyvale, Department of Community Development, Housing division, Attn: Katrina L. Ardina, Housing Programs Analyst – 456 W. Olive Avenue, Sunnyvale, CA 94086 or can also be submitted via e-mail to kardina@ci.sunnyvale.ca.us.

PUBLIC HEARING: The Housing and Human Services Commission will hold a public hearing to take public comment on the draft CAPER on Wednesday, September 26, 2012. The Public Hearing will be held at 7:00 p.m. in the West Conference Room, Sunnyvale City Hall, 456 West Olive Avenue, Sunnyvale, CA.

TO OBTAIN COPIES: Copies of the CAPER are available at Sunnyvale City Hall, One-Stop Permit Center, Community Development Department, 456 West Olive Avenue, during regular business hours or by telephoning (408) 730-7254, or visiting the City's website: Housing.insunnyvale.com.

PARA RESIDENTES QUE HABLAN ESPAÑOL: Este documento contiene información sobre los Programas de Desarrollo Comunitario de la Ciudad de Sunnyvale y puede ser muy importante para usted. Por favor traduzca este aviso, o llame a la División de Viviendas al 408-730-7250 y pida que le asistan en su idioma.

CU' DÂN NÓI TIẾNG VIỆT: Bản thông báo này cho những người cư ngụ. tại thành phố Sunnyvale. Chương trình này có thể liên quan đến quý vị. Xin chú ý đến bản thông báo này.

PARA SA MGA MAMAMAYANG PILIPINO: Ang paalalang ito ay patungkol sa Departamento ng Kaunlaran para sa Pamayanan ng Lungsod ng Sunnyvale. Ito ay maaaring makatulong sa inyo kaya maaari po lamang na ipaabot at ipamahagi sa inyong kasamahan.

此通知是關於 Sunnyvale 的社區發展計劃,可能對您非常重要。
請將這一信息提供給他人。

इस सूचना पत्र में सिटी ऑफ सनीवेल के आवास और समुदाय विभाग के कार्यक्रमों के बारे में जानकारी है। अगर आप इस बारे में और जानना चाहते हैं तो इस नंबर पर संपर्क करें (408) 730-7250 अथवा किसी हिंदी भाषी से अनुवाद करवाएं

The City of Sunnyvale does not discriminate on the basis of race, color, religion, national origin, sex (including sexual harassment), handicap, or age in any of its policies, procedures, or practices. This nondiscrimination policy covers admission and access to, or treatment or employment in, the City of Sunnyvale programs and activities. Pursuant to the Americans with Disabilities Act, the City of Sunnyvale will make reasonable efforts to accommodate persons with qualified disabilities. If you have inquiries regarding the equal opportunity policies, the filing of grievances, or to request a copy of the City's grievance procedures or if you require special accommodations, please contact the Housing Division at (408) 730-7254 at least five days in advance of the meeting. TDD/TTY (408) 730-7501.

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PART I

NARRATIVE STATEMENTS

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Part I: NARRATIVE STATEMENTS

A. ASSESSMENT OF ONE YEAR GOALS – FY 2011-12

Sunnyvale has a long tradition of innovative policies and actions designed to provide a broad range of housing and community development programs in the City. Through the Consolidated Plan, the City has concentrated on expanding and preserving the existing supply of affordable housing, improving neighborhoods and increasing accessibility for persons with disabilities, as well as supporting human service agencies that provide needed public service to lower-income and special needs residents of the City. All of these have been identified as priority needs in Sunnyvale.

The following table summarizes major accomplishments during FY 2011-12 which address priority goals within the Consolidated Plan.

TABLE 1: 2011 HOUSING AND COMMUNITY DEVELOPMENT ACCOMPLISHMENTS (FEDERAL FUNDS)

	Objective	Performance Measure	PY2011 Objective	PY2011 Accomplished
Goal A: Affordable Housing				
1a	Support affordable rental housing for lower income households (E)	Housing Units	63	39
2a	Assist lower- income first time home buyers	Housing Units	3	1
3a	Provide Housing Improvement Program (E)	Housing Units	20	16
4a	Conduct outreach to the community regarding fair housing, and address any identified local barriers to fair housing choice	Households	30	12
Goal B: Alleviation of Homelessness				
1b	Help people who are currently homeless or at imminent risk of homelessness	Households	5	4
Goal C: Other Community Development Efforts				
1c	Support provision of essential human services, particularly for special needs populations	Individuals	2,639	2,589
2c	Maintain/Expand Community Facilities and Infrastructure	Facility expansion	1	1
		Sidewalk, Curb & gutter Improvements	28,000 sq ft/ 6,000 linear ft.	39,000 sq ft/ 6,000 linear ft
Goal D: Expand Economic Opportunities				
1d	Support economic development activities that promote employment growth, and help lower-income persons secure and maintain a job.	Micro-enterprises	10	8
Goal E: Environmental Sustainability				
1e	Support Energy Efficiency/Renewable Energy Programs	Addressed by various activities indicated with an (E) above		

*Public Works determined that sidewalk, curbs and gutter improvements were more urgently needed than additional curb cuts during PY2011.

B. ACTIONS TO AFFIRMATIVELY FURTHER FAIR HOUSING

Analysis of Impediments to Fair Housing Choice (AI)

The City's Analysis of Impediments to Fair Housing (AI) meets the requirements of 24 CFR 570.904(c)(1) for entitlement jurisdictions under the CDBG program administered by the U.S. Department of Housing and Urban Development (HUD).

An update to the City's AI was completed and presented to the Housing and Human Services Commission on September 28, 2011 using 2009 data provided in the 2010-15 Consolidated Plan and 2010 Census data. The AI is available on the City's website.

Staff is currently making efforts to improve access to services, programs, and activities, including affordable housing opportunities, for all residents, including persons and households with Limited English Proficiency (LEP). The AI includes recommendations to: expand awareness of LEP clients, educate service providers on their obligations to LEP clients, and assessing housing and service providers to evaluate the ability of persons with LEP to access sponsored services, programs, and activities.

Fair Housing

The City continued to support fair housing efforts by providing information to the public about fair housing, and developing a web page dedicated to fair housing on the City's website, and sponsoring a Fair Housing workshop at the Sunnyvale Library with a fair housing legal services provider as guest speaker. Staff refers inquiries to qualified fair housing agencies for discrimination complaint review and investigation, legal advice, and assistance in filing and/or resolving complaints. A number of fair housing agencies provide services to Sunnyvale residents, such as: legal advocacy; counseling, complaint investigation, mediation, conciliation and education; consultation and legal representation, and other important services. These agencies include:

- Asian Law Alliance
- ECHO Housing
- Law Foundation of Silicon Valley/Fair Housing Law Project
- Bay Area Legal Aid
- Project Sentinel
- Senior Adults Legal Assistance (SALA)

Actions to Affirmatively Further Fair Housing

Sunnyvale was involved in the following activities to affirmatively further fair housing during FY2011-12:

- Provided funds for handicapped accessibility improvements at 9 homes
 - Provided translation and interpretation services for the City's housing and community development programs.
 - Continued to include a fair housing component as part of the homebuyer education workshops.
- The Fair Housing page on the City's website provides a link for residents to report discrimination complaints directly to HUD.
- The City actively implements an affirmative marketing plan to inform the public about all housing programs. Housing programs are available to all residents of the City, and minority and LEP participants are actively sought by reaching out to community organizations

servicing these communities. All program brochures are translated into Spanish. Last year, staff marketed housing programs in the Sunnyvale Quarterly newsletter, online, and via various electronic media. Staff also displayed brochures at the Sunnyvale Senior Center, Sunnyvale Public Library, Sunnyvale City Hall and the Sunnyvale Community Center. Staff also attended community meetings at various Neighborhood Enhancement Action Team target areas and regional housing events to provide presentations on the City's programs.

C. AFFORDABLE HOUSING

Sunnyvale is committed to increasing its affordable housing stock, and has done so through its local policies and funding commitments that support the development, maintenance, and improvement of affordable housing in Sunnyvale. The City's permitting system is known as one of the most efficient and effective permitting systems in the State, if not the entire U.S. This efficiency also supports the development of new housing to meet demand. Thousands of housing units are currently under construction or in the planning stages within the City.

In 2009, the City adopted its 2010-2014 Housing Element, required by California law to describe how the City will meet the needs for housing for all income levels. The Housing Element includes demographic data, housing stock data, funding availability, an overview of existing housing programs, barriers to affordable housing, and short and long term objectives to address these barriers and to increase affordable housing production.

During the Program Year, the following housing project was completed:

New Housing Construction

Fair Oaks Senior Housing

The development of Fair Oaks Plaza was completed in October 2011 and all units are fully occupied. The City provided \$1.4 million in HOME funds, as well as some local funds to this project. The City took advantage of a unique opportunity to build a large senior housing project with supportive services adjacent to a County health center, within a reasonable proximity to other services, and within a half mile of the Senior Center. Fair Oaks Plaza includes 124 units for very low- and extremely low-income seniors, with 55-year affordability restrictions. Transit access is available on the street frontage of the property.

The project was widely marketed throughout the region, resulting in a highly diverse, multi-lingual resident population and lengthy waiting list. A number of units are reserved for homeless applicants, and a portion of the units are funded with project-based Section 8 vouchers.



Fair Oaks Plaza – County Medical Clinic. Photo courtesy of MidPen Housing



Fair Oaks Plaza housing and garage entrance. Photo courtesy of MidPen Housing

D. CONTINUUM OF CARE

Actions to Develop/Implement a Continuum of Care Strategy

The City of Sunnyvale continued to participate in the Santa Clara County Collaborative on Housing and Homeless Issues, particularly in their efforts to secure funding for shelters, transitional housing, and supportive services. The Collaborative is comprised of local jurisdictions, shelter providers, service providers, housing advocates and non-profit housing developers. This group serves as an effective forum for generating additional funding sources

and creating affordable housing for homeless persons and those at risk of homelessness.

Sunnyvale will also continue to work with other cities in the County to increase the number of year-round shelter beds, affordable housing units, and other services for homeless individuals and families. The Collaborative has successfully completed grants for other projects targeted to the homeless in the County.

Actions to Address the Needs of Homeless Persons

Sunnyvale provided a total of \$133,955 in CDBG public services funds to providers of shelter and homeless services, including the following:

- Bill Wilson Center: Shelter for Sunnyvale runaway youth, and counseling services for youth and their families to strengthen and support families.
- Emergency Housing Consortium (EHC): Homeless shelter and support services for families and individuals.
- Sunnyvale Community Services: Emergency financial aid, rental assistance, food and clothing for low-income residents in crisis and/or at-risk of becoming homeless.
- YWCA Silicon Valley: Shelter and support services for victims of domestic violence.

E. OTHER ACTIONS

Actions to Address Obstacles to Meeting Underserved Needs

The City continues to seek opportunities to provide housing in the community for underserved residents. These opportunities include local policies and funding commitments that support the development, maintenance, and improvement of affordable housing in Sunnyvale.

Actions to Eliminate Barriers to Affordable Housing

The City of Sunnyvale works diligently to overcome barriers to providing affordable housing to its residents. These efforts are described in detail in the Housing Element referred to above.

The City continues to encourage and assist non-profit housing developers and/or the Housing Authority to develop new rental units by identifying potential sites and supporting development on these sites.

Actions to Overcome Gaps in Institutional Structures and Enhance Coordination

The institutional structure includes private industry, non-profit organizations, and public institutions that deliver the programs outlined in the housing strategy.

The City works with the State, its neighboring cities and county, and private agencies to coordinate efforts and use resources strategically. Collaboration with industry groups is accomplished through an ongoing relationship with the Silicon Valley Leadership Group's Housing Action Coalition (HAC), which focuses on affordable housing, and any other interested industry partners, such as lenders and real estate industry associations.

The City works with the County and surrounding cities, the Housing Authority of Santa Clara County, and local non-profit organizations in an effort to provide adequate and affordable housing for residents of Santa Clara County and specifically for residents of Sunnyvale. The City participates in regional efforts to leverage private and local government resources with federal resources for the provision of affordable housing and human services for residents of the region.

Most human service agencies funded by the City operate regionally. Sunnyvale cooperates with the other jurisdictions to avoid duplication of services and to ensure that an efficient delivery system is in place. The City continues to cooperate with the County and the County Housing Authority on various programs.

Actions to Evaluate and Reduce Lead Based Paint Hazards

The City continues to provide lead-based paint testing and assessment services on all housing built before 1978 that receive City rehabilitation assistance. The City ensures that the requirements for notification, evaluation and reduction of lead-based paint hazards in projects receiving Federal assistance are established. Information regarding lead-based paint hazards is given to all property owners and residents prior to any rehabilitation work being undertaken. The City also requires that all contractors and owner participants view the “Safe Work Practices” video developed by the City and read the “Lead Paint Safety” field guide prior to participating in the Paint Program. Staff continues to keep abreast of any new developments in lead-safe housing regulations.

During the program year, a total of two homes were tested for lead in conjunction with paint grants: one tested negative; and one tested positive, with no hazardous conditions.

Actions to Annually Ensure Compliance with Program and Comprehensive Planning Requirements

The City reviews its progress toward the goals of the Consolidated Plan, and continues to monitor all federally funded activities as required by HUD and OMB.

All reporting requirements and HUD deadlines were met this program year. As of April 30, the City met HUD’s “timeliness ratio” for expending the CDBG funds in a timely manner.

At the beginning of each program year, agreements are prepared with subrecipients outlining the responsibilities involved with the receipt of federal funds, and the performance standards which will need to be met. During the program year, subrecipients are required to submit quarterly performance reports describing which program goals have been achieved on a quarterly basis. Annually, City staff conducts on-site programmatic and internal control monitoring, and visits its funding recipients to review their fiscal and program management of the grant. The agency maintains documentation of performance indicators available for inspection, with an audit trail from source documents to reports. At year’s end, the City prepares annual year-end evaluations of these agencies, which are submitted to the Housing and Human Services Commission for review during the grant application process.

Reduce the Number of Persons Living Below the Poverty Level

➤ *Quarterly Statistical Data of Public Assistance Families in the County of Santa Clara*

This report provides local statistical data representing individuals and families who receive public assistance in Santa Clara County. As of July 1, 2012, the CalWORKS program (welfare program that gives cash aid and services to eligible needy California families), reported 519 public assistance cases in Sunnyvale, representing 3.8% of cases in the County. Under the Medi-Cal program, which provides medical assistance for low-income families, seniors, persons with disabilities, children in foster care, pregnant women, and certain low-income adults, 6,459 Sunnyvale households received assistance. This represents 5.1% of the total cases reported in the County. Further information is available at www.sccgov.org: Agencies & Departments: Department of Employment & Benefits Services: Statistics. The County administers these

programs to improve quality of life for very-low income persons and to reduce the number living below the poverty level.

➤ NOVA

NOVA (North Valley Job Training Consortium) is funded entirely through federal, state and foundation grants, with the primary resources coming from the Workforce Investment Act (WIA). NOVA is a consortium of seven northern Santa Clara County cities: Cupertino, Los Altos, Milpitas, Mountain View, Palo Alto, and Santa Clara, in addition to Sunnyvale. Many of the services and programs provided by NOVA target disadvantaged youth and adults who may have limited education or barriers to employment.

With rising unemployment, NOVA's WIA allocations rose by **8%** from FY 2010/11 to FY 2011-12. In FY 2011-12, NOVA served **7,566** clients through the CONNECT Job Seeker Center (one-stop career center), a **29%** decrease from FY 2010-11. However, the number of services provided (136,641) remained about the same as the year before, reflecting that customers needed more services in order to become re-employed due to the continued challenges of the economy.

For adult services, **1,703** Sunnyvale residents accessed the career center, receiving **34,055** individual services. This represents a decrease of **8%** in the number of residents served over the previous year. Additionally, NOVA provided services to **89** Sunnyvale youth, **39** of whom received in-depth career guidance services or work experience through NOVA's summer youth employment program.

While hiring showed some signs of improvement, the number and volume of layoff events within northern Santa Clara County increased dramatically from the prior year. NOVA's Rapid Response program was available to assist **4,621** affected employees of **69** layoff events in FY 2011-12. This program worked with **32** companies located within Sunnyvale, assisting **2,559** individuals laid off by these companies — a 52% increase in the number of companies from FY 2010-11 and over three times the number of workers affected.

Other grant investments that benefit the Sunnyvale population include:

1. NOVA received funding for a Veterans Employment-Related Assistance Program (VEAP) grant from the California Employment Development Department to serve veterans in Santa Clara and San Mateo counties. The grant began in July 2011 and will operate through March 2013. Through June 30, 2012, 47 veterans were served, of which 43% were recently separated veterans. The project uses an extensive network of partners to provide a wraparound approach to services to address the veterans' multiple barriers to employment.
2. NOVA received continued funding for a Disability Program Navigator position at the CONNECT Job Seeker Center. The goals of this project were to improve access to integrated employment services for individuals with disabilities and to create linkages with employers to promote the hiring of persons with disabilities.
3. NOVA was one of a small number of workforce boards in California to receive funding to enhance the employability of recently paroled individuals with the intent of reducing recidivism. NOVA's New Start program ended March 31, 2012. The program served 84 customers, and 29 received occupational skills training. Most of the program participants received supportive services such as transportation assistance, clothing, and work and training supplies. NOVA achieved a 77% placement rate with this population and hopes to secure funding to continue these much-needed services.

F. LEVERAGING RESOURCES

Federal funds will continue to be leveraged to the maximum amount. The City continues to encourage non-profit developers to seek private and State sources of funding, both including grants, loans, and/or tax credit financing. Furthermore, as opportunities arise, the City will use its local Housing Fund as appropriate to leverage federal funds and to match HOME funds.

In 2009 the City received a State of California Infill Housing Grant of \$6.6 million with Mid-Pen Housing for the Fair Oaks Senior Housing project. It also supported Mid-Pen's application for 2010 federal and State tax credits and ARRA gap financing, all of which were awarded to the project in September 2010. During the program year, the remaining balance of approximately \$2.7 million of the \$6.6 million Infill Housing Grant was expended.

The City continues to support human service agencies with its General Fund, in addition to the CDBG public services funds it provides. This funding is provided through a competitive grant program. The City provided \$100,000 in General funds to human services agencies in 2011-12.

The City of Sunnyvale used various sources to fund affordable and supportive housing activities this past year. The City provided federal funds from the following sources: CDBG, CDBG Revolving Loan Fund, and HOME. The City also supported the County of Santa Clara, County Department of Mental Health, Bill Wilson Center, Emergency Housing Consortium, and the Housing Authority of Santa Clara in their applications for federal funding from the Supportive Housing Program, Shelter Plus Care and the Family Self-Sufficiency program as well as supporting other organizations in their funding applications.

A number of non-profit agencies provide housing and supportive services in the City. They are partially funded through CDBG and City General funds, with the remainder of funding provided by private and/or other public sector funders. These activities are described under the Human Services Section on pages 9-13 of this CAPER.

Geographic Distributions of Funding and Funding in Areas of Minority Concentration

There are no identified areas of minority concentration in Sunnyvale, although the majority of the City's residents belong to minority racial/ethnic groups. Eligible low-income neighborhoods, located primarily in northern Sunnyvale, were identified for community facilities and/or infrastructure projects.

G. CITIZEN COMMENTS

There were no public comments received during the comment period.

H. SELF-EVALUATION

This is the second year of reporting on the City's performance in meeting its five-year Consolidated Plan goals. As reported in Table 3, the City has achieved many of its goals, such as rehabilitation of Peacock Commons, a 28-unit affordable rental housing project that provides on-site supportive services for youth who are homeless or at risk of homelessness to reach self-sufficiency. In addition, a number of public services were funded and provided to local residents in order to prevent homelessness and assist low income households and seniors with basic needs. One lower-income first-time homebuyer and six moderate-income buyers were assisted with down payment loans as well. The moderate-income loans are not included in the CAPER tables because no HUD funds were used for these loans

The completion and occupancy of Fair Oaks Plaza was recently awarded a Readers' Choice award in the senior housing category by the national *Affordable Housing Finance* journal. The project was completed a month ahead of schedule, is fully leased, and currently has a waiting list of nearly 1,000 applicants.

Construction of the multi-year Columbia Neighborhood Center (CNC) expansion project was completed in May. However, final project close-out in IDIS will occur not until PY 2012-13 after final retention payments have been made.

The Tenant Based Rental Assistance (TBRA) program, administered by the Housing Authority of Santa Clara County, provided four additional very low-income households with TBRA vouchers to lease rental units. A total of 11 households have received assistance under the TBRA program since the last reporting period.

NOVA, in collaboration with program resource partners (SBA, SCORE, Foothill College Entrepreneur Center, Women's Initiative, and AnewAmerica) delivered three (3) Entrepreneur Orientations to 111 individuals and provided eight local micro-enterprises with seed grants and technical assistance for their businesses.

Other Accomplishments

At the end of the program year, the CDBG timeliness ratio was at 0.87. The City anticipates that it will meet its timeliness goals for FY 2012-13. The City will continue to ensure that funds are expended diligently and in a timely manner.

Actions undertaken during the FY 2011-12 program year were consistent with the primary goals and objectives of the City's five-year Consolidated Plan and Annual Plan to provide greater housing opportunities, neighborhood facilities, and support services for the City's lower income residents.

For HUD reporting, the Integrated Disbursement and Information System (IDIS) has been updated to reflect all activity that occurred in FY 2011-12, and the City will report on an accrual system. The accrual method is used to reflect income as earned and expenses as incurred.

The City of Sunnyvale's housing programs and initiatives have served as a model for many years in Santa Clara County. Its collaborative efforts with other local agencies have streamlined processes, improved information flow, and cut across jurisdictional boundaries to produce joint projects. Staff of Santa Clara County's entitlement cities and urban county meet at least quarterly to review issues common to the CDBG and other HUD programs of these jurisdictions. Representatives of HUD CPD for Region IX attend most of these meetings. This results in better communication and coordination between these agencies.

Overall, the programs as implemented this year met most of the City's objectives set forth in the Action Plan and in its 2010-2015 Consolidated Plan. Staff is working on various program improvements in order to meet the remaining objectives.

To enhance and expand the effectiveness of city programs, the following activities were undertaken:

I. CDBG PROGRAM

PRIORITY NEED – AFFORDABLE HOUSING

Funding for housing-related priority needs was provided in accordance with the FY 2011-12 Action Plan, as follows:

Rental Housing Rehabilitation

Multi-family Rehabilitation (Homestead Park)

In PY1011, the City provided \$577,232 in CDBG funds for exterior rehabilitation of four buildings with a total of twenty-five apartment units at Homestead Park Apartments, to create more energy efficient buildings. The project was delayed until Spring 2012 due to extensive review by its first lender, CalHFA, but an aggressive timeline allowed the project to be completed by July 2012. The final retention payment was made in September 2012.

Multi-family Rehabilitation (Bill Wilson Center)

During PY0809, the City provided \$100,000 in CDBG funds for rehabilitation of Peacock Court Apartments, a 28-unit apartment complex. The project was completed at the beginning of the year and provides transitional housing and supportive services for youth aging out of foster care in 21 units, plus six "adult mentor" units and one manager unit. Thirteen of these units are affordable to extremely low-income youth.

Multi-family Rehabilitation (Orchard Gardens - Cancelled)

This project was cancelled at the applicant's request during the program year, as the applicant had sufficient funding for property improvements without the need for CDBG funds.

Housing Improvement Program (HIP)

Housing Rehabilitation Loan Program

Housing rehabilitation is one of the priorities in the five-year Consolidated Plan. This program provides deferred loans of up to \$60,000 for rehabilitation of owner-occupied, single family homes and \$15,000 for mobile homes, and is available city-wide. During the reporting period, the City provided one loan for over \$15,000 from its revolving loan fund to fund a substantial rehabilitation of a mobile home. Efforts are underway to increase public awareness about the program in order to increase utilization. Some prospective applicants may be unable to take out these loans because their homes are underwater or they have insufficient equity. Rising home values will likely resolve this issue within several years.

Home Access, Paint, and Emergency Repair Program

This program assists people with special needs and very low-income households, and is available city-wide. A total of \$52,530 was expended to provide accessibility improvements at nine homes occupied by disabled persons, including installation of electric wheelchair lifts at mobile homes, and paint and/or emergency repairs at five homes. This program continues to be very popular and five additional projects, approved in FY 2011, are now in progress.

Energy Efficiency Program

This pilot program began in FY 2010. One homeowner was assisted with a CDBG Energy Efficiency Matching grant and was provided with a rehabilitation loan during the program year. Staff continues to collaborate with the County to implement this program, in conjunction with similar efforts provided through the CA Energy Upgrade program. This program can be used in combination with other home improvement programs.

PRIORITY NEED: COMMUNITY DEVELOPMENT

Maintain/Expand Community Facilities and Infrastructure

Columbia Neighborhood Center Expansion. The addition of approximately 3,500 square feet to this Center was completed in May 2012. The new Center will provide more effective health services and expand social, educational, and recreational services.

Sidewalk, Curb & Gutter Improvements. The City completed sidewalk improvements in a number of CDBG-eligible lower-income neighborhoods. A total of 39,000 square feet of sidewalks and 6,000 linear feet of curbs and gutters were replaced, and several crosswalks and meter boxes at intersections were replaced during the program year.

Human Services

The City provided CDBG funding for a number of supportive services for the elderly, homeless persons, and other persons with special needs. See Table 1 summarizing accomplishments. Please note that many agencies receive additional sources of funding, leveraging CDBG funds from the City, which gives the agencies the ability to serve many other clients beyond those served with City or CDBG funds.

- **The Bill Wilson Center** provides long-term solutions for individuals and families in crisis through individual, youth, family, group, and couples counseling for very low-income Sunnyvale residents. A CDBG grant of \$21,290 was provided to this agency to provide services for 64 clients who received a total of 240 counseling sessions during the year. Additionally, the Bill Wilson Center provides shelter care for at-risk youth through other funding. The director of this agency, Sparky Harlan, was recently commended by the White House as a “Champion of Change” for her efforts to help house homeless youth in Santa Clara County.
- **EHC LifeBuilders.** For program year 2011-12, EHC LifeBuilders amended their contract agreement to provide security deposit and short-term rental assistance to eligible Sunnyvale residents in order to prevent or reduce homelessness. The City allocated \$20,470 of CDBG funds to this program for services to 20 clients as proposed by the agency. Over the past year, EHC LifeBuilders expended \$4,719 and was only able to provide assistance to 4 Sunnyvale residents, primarily due to their location in San Jose, which was very accessible to Sunnyvale clients. EHC has decided to return to their original proposal of providing winter shelter services in the coming program year.
- **Family and Children Services** provides youth counseling services at the Columbia Neighborhood Center. The program offers school-based mental health counseling for youth and their families, crisis intervention, suicide prevention, and referrals to other resources. The City contributed \$18,728 in CDBG funds to this program which funded 211 counseling sessions for 26 at-risk youth.
- **Outreach** provides subsidized taxi rides, public transit passes and ADA paratransit service rider’s fares for seniors. The City provided \$28,658 in CDBG funds to provide 2,886 one-way trips to 100 Sunnyvale older adult residents.
- **Sunnyvale Community Services (SCS)** provides emergency financial assistance to households in crisis, as well as food, clothing, and other assistance. The City provided \$75,000 in CDBG funds which SCS used to provide food to 705 low-income households (2,115 unduplicated individuals) through the Christmas Community Center Food Program.

- **MayView Community Health Center** provides access to primary health care and disease prevention at the Columbia Neighborhood Center. The City provided \$16,376 in CDBG funds to provide 128 health care visits which include well child checks, immunizations and sick care to 128 Sunnyvale residents.
- **YWCA-Support Network** provides counseling services to survivors of domestic violence living in Sunnyvale. This program received \$17,195 in CDBG funds from the City to provide counseling sessions and legal services such as legal referrals, assistance to obtain temporary restraining orders, preparation for court appearances, and court accompaniment to 200 Sunnyvale residents. Additionally, Support Network expanded its services by establishing a satellite office at the Sunnyvale Columbia Neighborhood Center. Additionally, YWCA- Support Network provided shelter services to 3 Sunnyvale residents through other funding.

PRIORITY NEED: ECONOMIC DEVELOPMENT

Micro-enterprise Assistance

The Micro-enterprise program was a pilot program funded in PY 2010-11 and completed in PY 2011-12. The program was administered by NOVA, with Housing staff assisting with program development, eligibility certification, Spanish translation, and related services. The program offered training, technical assistance, and seed grants which were provided on a competitive basis to eligible micro-enterprise owners. During the past year, eight local micro-enterprises were provided with seed grants and technical assistance. Recipients included a taxi company, a custom leather apparel shop (Zooni), a child care provider, and a bakery, among others. In addition to the grantees, over 126 individuals received technical assistance and referrals.



Photo of Zooni Leathers ribbon-cutting ceremony for their new store in Sunnyvale.

J. OUTCOME PERFORMANCE MEASUREMENT SYSTEM

On March 7, 2006, HUD published a “Notice of Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs” (FR-4970-N-02). That notice requires that any Consolidated Plan/Annual Plan and CAPER submitted on or after October 1,

2006 must incorporate the new performance measurement system introduced in March 2006.

The new performance system consists of three objectives and three outcomes that enable HUD and its grantees to measure the impact of their programs:

➤ Three Objectives:

1. Providing Decent Affordable Housing. This objective focuses on housing activities whose purpose is to meet individual family or community needs for housing.
2. Creating Suitable Living Environments. This objective relates to activities that are intended to address a wide range of issues faced by low-income persons (80% AMI and below), from physical problems with their environment, such as poor quality infrastructure, to social programs such as literacy or health services.
3. Creating Economic Opportunities. This objective applies to activities related to economic development, commercial revitalization, or job creation.

All of the City's CDBG and HOME-funded programs must contribute to one of these objectives.

➤ Outcomes, consisting of "improved or increased":

1. Availability/Accessibility. Activities that make infrastructure, public services, public facilities, housing, or shelter more available or accessible to low-income people, including persons with disabilities. Accessibility does not refer only to physical barriers, but also other kinds of barriers, such as cost, capacity, or location.
2. Affordability. Activities that improve or increase the affordability of housing or other basic needs, such as transportation, food, health care.
3. Sustainability (promoting livable or viable communities). Activities that improve the viability or quality of life in communities or neighborhoods, by helping low-income persons to obtain jobs, access services or start a business, or improving blighted areas with safety measures, economic development, and/or physical improvements.

All of the City's HUD funded programs must contribute to one or more of these outcomes and meet at least one objective.

Outcomes and Objectives are combined in a matrix creating "performance statements" which are used by the federal government to measure the national accomplishments of the CDBG and HOME Programs.

Summary of Objectives and Outcomes

Federal funds expended in FY 2011-12 were distributed to meet the following national objectives and create the outcomes as shown below:

OBJECTIVE	Activity	OUTCOMES		
		Availability/ Accessibility	Affordability	Sustainability
Create Suitable Living Environment	Public Facilities Improvements	\$ 728,115	\$ -	\$ 787,143
	Public Services	\$ 181,966	\$ -	\$ -
Provide Decent Affordable Housing	First Time Homebuyer Program	\$ -	\$ 34,980	\$ -
	Housing Rehabilitation Program	\$ -	\$ -	\$ 68,098
	Affordable Housing Acquisition, Construction and/or Rehabilitation	\$ -	\$ 179,655	\$ 519,509
	TBRA		\$ 59,281	
Create Economic Opportunities	Microenterprise	\$ 79,812	\$ -	\$ -

1) Availability/Accessibility for the Purpose of Creating Suitable Living Environments

Eight activities were funded in FY2011-12 that made services and/or facilities available or accessible to low- and moderate-income people, as a means of addressing issues in their living environment:

- Sidewalk, Curb & Gutter Improvements
- Bill Wilson Center
- Emergency Housing consortium
- Family & Children Services
- May View Community Health
- Outreach & Escort
- Sunnyvale Community Services
- YWCA-Support Network for Battered Women

Together, these projects improved the living environment for more than 2,589 lower-income and/or disabled residents of Sunnyvale.

2) Affordability for the Purpose of Providing Decent Affordable Housing

Six activities were funded and/or completed to provide affordable housing:

- Fair Oaks Plaza (123 units)
- Peacock Commons Apartments Rehabilitation (28 units)
- Homestead Park Apartments (25 units)
- Momentum for Mental Health Group Home Rehabilitation (1 unit)
- First-time Homebuyer Program (1 households)
- Tenant Based Rental Assistance (TBRA) 4 households

The newly constructed 124-unit Fair Oaks Senior Housing project was completed in October 2011. All 123 senior units are leased up and occupied. Funding for this project was provided in FY 2009-10.

In 2008, the City provided \$100,000 to rehabilitate Peacock Commons Apartments, a 28-unit affordable rental housing complex. The project was delayed due to the State's delay in issuing Proposition 1-C bonds. The Commons provides permanent, affordable housing for youth who are homeless or at risk of homelessness, and connects them with support services to maintain housing and reach self-sufficiency. The project was completed and \$100,000 was expended during the program year. The Director of the agency completing this project, Sparky Harlan of the Bill Wilson Center, was recently honored by the White House as a "Champion of Change" in the fight against youth homelessness.

The Homestead Park Rehabilitation project was delayed until Spring 2012 due to extensive review by their senior lender. Exterior rehabilitation of four buildings with a total of 25 units was completed by July 2012, and final retention payment will be made by September 2012.

The demolition/rebuild of a small residential group home owned by Momentum is underway and is expected to be completed within six months. The home provides affordable housing for several individuals with mental illness who are able to live independently in the community.

The City's First-Time Homebuyer Program funded one down payment assistance loan for \$35,000 to assist a qualified household to purchase their first home.

The City provided an additional \$168,000 in HOME funds to the Housing Authority of Santa Clara County to assist residents currently experiencing, or at imminent risk of homelessness, and other very low income households, to obtain and maintain rental housing. During the program year, four additional households were issued TBRA vouchers. A total of eleven households have leased rental units with these vouchers since the program began in FY 2010-11.

5) Sustainability for the Purpose of Creating Suitable Living Environments

- Columbia Neighborhood Center Expansion (CNC)

A total of \$787,143 was expended on this project during the program year. This project will benefit lower-income youth and families through services that will improve self-sufficiency, life skills, and/or quality of life. Construction was completed and the facility was placed in service in May 2012.

6) Sustainability for the Purpose of Providing Decent Affordable Housing

CDBG and CDBG Revolving Loan Funds (RLF) were used to rehabilitate or improve the homes of lower-income households. Sixteen homes were improved:

- Housing Rehabilitation Loans
- Home Access, Paint & Emergency Grants and Loans
- Energy Efficiency Retrofits

These projects ensured continued affordability for 16 homes.

7) Availability/Accessibility for the Purpose of Creating Economic Opportunity

The City provided CDBG funding to NOVA to collaborate with agencies such as SBA, SCORE, and Foothill College to provide micro-enterprise assistance to help those unemployed for more than six months to start new businesses. Two micro-enterprises were approved for seed funds during the program year for a total of eight local micro-enterprises provided with seed grants and technical assistance. Although small in scale, this program was lauded by regional economic development officials as highly innovative and a great model for other communities to follow.

PART II

HOME INVESTMENT PARTNERSHIPS PROGRAM

ANNUAL PERFORMANCE REPORT

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Part II: HOME Annual Performance Review (APR)

A. Consolidated Plan:

1. Relationship to Objectives

Providing affordable housing for low-income households is the highest priority need identified in the 2010-2015 Consolidated Plan and is the only eligible use of HOME funds. The use of HOME funds in FY 2011-12 met the objectives set forth in the FY 2011-12 Action Plan:

Support affordable rental housing for lower-income households, with priority to very low, extremely low, and special needs groups (i.e., elderly, disabled, large families, homeless/at-risk households).

- Project: Fair Oaks Senior Housing (New Construction)
124 units of new affordable senior housing
Developer: Mid-Pen Housing

Status: Construction completed in October 2011 and all senior units (123) occupied.

Construction started in May 2009 on Fair Oaks Plaza, a \$43.2 million senior housing development of which the City funded \$4.9 million from City Housing Mitigation funds and \$1.4 million from HOME funds. The project is located at 660 S. Fair Oaks Avenue, on County property adjacent to the Valley Health Center and consists of 124 affordable rental apartments for very low and extremely low-income seniors. The project was recently awarded a Readers' Choice award in the senior housing category by the readers of the national *Affordable Housing Finance* journal. Before the end of the program year, the developer was able to pay back the City's HOME loan in full, approximately 54 years ahead of schedule, due to their strategies to maximize available funding and favorable pricing of tax credits.

- Project: Aster Park Apartments (Rehabilitation)
Developer: Mid-Pen Housing

Status: Completed and closed-out.

The City provided a loan of \$1.3 million in HOME funds for rehabilitation of Aster Park, a 95-unit affordable family rental and senior housing project built in Sunnyvale in 1975 and owned by Mid-Pen Housing. Final retention in the amount of \$130,000 was paid during the program year.

- Project: Momentum for Mental Health Group Home – Arbor House
Developer: Momentum for Mental Health

Status: Project underway and completion expected within six months.

The City provided a loan of \$265,889 in HOME and \$53,000 in CDBG funds for a demo/rebuild of a small residential group home that provides supported housing for several individuals with mental illness. Over \$49,000 was expended during the program year. Construction is expected to be completed by December 2012.

Tenant Based Rental Assistance

- Project: Tenant Based Rental Assistance and Security Deposit Program

Last program year, the City developed a pilot TBRA program in partnership with the Housing Authority of Santa Clara County to assist residents currently experiencing or at imminent risk of homelessness, and other very low income households, to obtain and maintain rental housing.

Status:

- Eleven households were issued TBRA vouchers and have leased rental units with these vouchers. The Housing Authority continues to solicit applications for the remaining vouchers from their Section 8 wait list.

Assistance to First-Time Homebuyers

- Project: First-Time Homebuyer Program

The First-Time Home Buyer (FTHB) Loan Program provides a silent second mortgage of up to \$50,000 for eligible first-time home buyers. Assistance may be used to purchase market-rate or below-market rate homes in Sunnyvale. The program is available to first-time home buyers who live or work in Sunnyvale and whose incomes do not exceed the moderate income limits (120% AMI). For those loans funded with HOME funds, buyers must be at or below the low-income limits (80% AMI).

During FY 2011-12, staff engaged in an extensive outreach program to inform prospective homebuyers, lenders, real estate developers, and major local employers about the Program. Staff held several workshops and participated in various county-wide workshops for homebuyers.

In FY 2011-12, the City funded one FTHB loan of \$34,980 in HOME funds to assist a lower-income first-time buyer to buy their first home, and six loans funded by City funds to assist moderate-income buyers.

2. Distribution of Funds

The City's Consolidated Plan places top priority on assisting low-income renters, homeless people and people with special needs, particularly those with incomes below 50% of the County median income. Activities funded this past year met one or more of the priority needs identified in the Consolidated Plan.

B. Private Sector Participation

Federal funds continue to be leveraged with private and non-federal public funds. The City continues to encourage non-profit developers to seek private and State sources of funding, both grants and loans. The City requires subrecipients to provide a match of at least 25% for city-assisted projects.

- Momentum provided \$72,000 in matching funds from cash and in-kind sources toward rehabilitation of their group home.

- MidPen Housing provided a total of \$3,098,211 in matching funds toward their Fair Oaks, Homestead, and Aster Park Projects.

C. Community Housing Development Organization (CHDO)

The City actively solicits CHDOs to encourage non-profit housing development and to engage in activities designed to lead to the development of projects that create affordable housing opportunities and preserve rental housing opportunities. The City did not provide any HOME funds to non-profits that have been formally recognized as CHDO's, however all of the non-profit developers that received City HOME funds in FY 2011 could pursue CHDO certification if desired, and would likely qualify. The City has encouraged them to do so.

D. Affirmative Marketing

The City's 2006 Analysis of Impediments to Fair Housing Choice, updated in PY 2011-12 includes Appendix A, *Affirmative Marketing Policies and Procedures for Affordable Housing*, which includes a detailed list of special outreach resources to ensure that outreach and marketing efforts will reach various ethnic populations, and to provide information to households with limited English proficiency, and/or "linguistically isolated" households. The City will continue to provide the Appendix to housing developers for inclusion in their Marketing Plans.

The City's partner agencies implement affirmative marketing for their HOME projects. The Carroll Street Inn has a very comprehensive marketing program. The waiting list indicates that the outreach efforts have been very successful in reaching various minority communities, as well as a broad range of very low income individuals and families in the community. Orchard Gardens follows a marketing plan by contacting local civic and community organizations representative of the ethnic and cultural diversity of the entire County in order to disseminate information about the property. Groups representing the disabled and the elderly are also contacted. Mid-Pen Housing implemented a comprehensive affirmative marketing plan for Fair Oaks Plaza.

E. Outreach to Minority and Women-owned Business Enterprises (MBE/WBE)

The City continues to endeavor to contract with and/or hire MBE/WBE firms for its HOME-assisted projects. The City encourages City staff and sub-recipients to actively solicit minority and women-owned businesses in their procurement of goods and services related to HOME-funded projects.

F. Tenant Assistance/Relocation

The Momentum project required temporary relocation of its tenants, which was implemented in accordance with HUD requirements. No projects required permanent relocation.

G. Shortfall Funds

Shortfall funds are non-HOME funds used to make up the difference between the participation threshold and the amount of HOME funds allocated to the Participating Jurisdiction (the participation threshold requirement applies only in the PJ's first year of eligibility). This item is not applicable to the City of Sunnyvale.

H. Inspection Results

On-site inspections of all HOME housing rental projects due for inspection were completed. All projects were in compliance with the City's housing standards. Monitoring of tenant income eligibility and HOME rents were also completed. One unit was not in compliance with its HOME Regulatory Agreement, and the City is preparing a response to the agency. Overall, 99 units were monitored.

The following properties, with a total of 36 units, were inspected for compliance with Housing Quality Standards (HQS):

<u>Property</u>	<u>Address</u>	<u>Units</u>
➤ Aster Park	1059 Reed Avenue	2
➤ Carroll Street Inn	174 Carroll Street	10
➤ Eight Trees Apartments	183 Acalanes	2
➤ Homestead Park	1601 Tenaka Place	10
➤ Moulton Plaza	1601 Tenaka Place	2
➤ Orchard Gardens	245 W. Weddell Dr.	2
➤ Plaza de las Flores	233 Carroll Street	2
➤ Senior Housing Solutions	Wolfe, Klee, Socorro	3
➤ Stoney Pine Villas	267 W. California Avenue	3

In an effort to be effective, maintain compliance and provide efficiency in delivery of the HOME Programs, staff continues to attend training as needed and take advantage of HUD's technical assistance.

APPENDIX A

Public Review of CAPER

A public notice was published in the *Sunnyvale Sun* on September 7, 2012 indicating the start of a review and comment period.

PUBLIC COMMENTS

There were no public comments received during the comment period.

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PART III

FINANCIAL SUMMARY REPORT

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1. Name of Grantee **City of Sunnyvale** 2. Grant Number **B11MCO60023** 3. Reporting Period **From 07/01/11 To 06/30/12**

Part I: Summary of CDBG Resources

1. Unexpended CDBG funds at end of previous period		\$	2,453,440
2. Entitlement Grant from form HUD-7082		\$	1,118,139
3. Surplus Urban Renewal Funds		\$	-
4. Section 108 Guaranteed Loan Funds (Principal Amount)		\$	-
5. Program income received by:			
		Grantee (Column A)	Subrecipient (Column B)
a. Revolving Funds	\$	494,241	\$ -
b. Other (identify below)			
Program Income			
Total Program Income (sum of columns a and b)		\$	494,241
6. Prior Period Adjustments *if negative, enclose in brackets)		\$	-
7. Total CDBG Funds available for use during this report period		\$	4,065,820

Part II: Summary of CDBG Expenditures

8. Total expenditures reported on Activity Summary		\$	2,777,931
9. Total expended for Planning & Administration (cdbg/FH)	\$	253,623	
10. Amount Subject to Low/Mod Benefit Calculation	\$	2,524,308	
11. CDBG funds used for Section 108 principal & interest payments		\$	-
12. Total expenditures (line 8 plus line 11)		\$	2,777,931
13. Unexpended balance (line 7 minus line 12)		\$	1,287,890

Part III: Low/Mod credit This Reporting Period

14. Total Low/Mod credit for multi-unit housing expenditures		\$	460,819
15. Total from all other activities qualifying as low/mod expenditures		\$	2,063,489
16. Total (line 14 plus line 15)		\$	2,524,308
17. Percent benefit to low/mod persons (line 16 divided by line 10 this reporting period)			100.00%

Part IV: Low/Mod Benefit for Multi-Year Certifications (Complete only if certification period includes prior years)

Program years (PY) covered in certification PY **2010, 2011, 2012**

18. Cumulative net expenditures subject to program benefit calculation		\$	4,407,905
19. Cumulative expenditures, benefitting low/mod persons		\$	4,407,905
20. Percent benefit to low/mod persons (line 19 divided by line 18)			100.00%

Part V: For Public Service (PS) Activities Only:
 Public Service CAP Calculation

21. Total PS expenditures from column h, form HUD4949.2a	\$ 181,965.65	
22. Total PS unliquidated obligations from column r, from HUD 4949.2a	\$ -	
23. Sum of line 21 and line 22	<u>\$ 181,965.65</u>	
24. Total PS unliquidated obligations reported at the end of the previous reporting period	\$ -	
25. Net obligations for public services (line 23 minus line 24)	\$ 181,965.65	
26. Amount of Program Income received in the preceding program year	\$ 218,535.00	
27. Entitlement Grant Amount (from line 2)	<u>\$ 1,118,139.00</u>	
28. Sum of lines 26 and 27	<u>\$ 1,336,674.00</u>	
29. Percent funds obligated for Public Service Activities (line 25 divided by line 28)	<table border="1" style="margin-left: auto; margin-right: auto;"><tr><td style="text-align: center;">13.61%</td></tr></table>	13.61%
13.61%		

Part VI: Planning and Program Administration Cap Calculation

30. Amount subject to planning and administrative cap (grant amount from line 2 plus line 5)	\$ 1,612,380	
31. Amount expended for Planning & Administration (from line 9 above)	\$ 253,623	
32. Percent funds expended (line 31 divided by line 30)	<table border="1" style="margin-left: auto; margin-right: auto;"><tr><td style="text-align: center;">15.73%</td></tr></table>	15.73%
15.73%		

Line 5a: PROGRAM INCOME NARRATIVE

Housing Loan Repayments	\$ 494,241.40
	\$ -
	\$ -
	<u>\$ -</u>
	<u>\$ 494,241.40</u>

Other Program Income

Activity No.	Description	Grantee	Subrecipient
		0.00	0.00
	Total	0.00	0.00

Activity Numbers Starting with "*" are old Activities
 not on this program year's Activity Summary

GPR HANDBOOK APPENDIX 3: ITEM E CALCULATION OF BALANCE OF UNPROGRAMMED FUNDS

D. RECONCILIATION OF LINE (S) OF CREDIT (LOC) AND CASH BALANCES
 TO UNEXPENDED BALANCE OF CDBG FUNDS SHOWN ON GPR

Complete the following worksheet and submit with the attachment:

UNEXPENDED BALANCE SHOWN ON GPR (line 13 of htjd 4949.3)	\$	1,287,890	
ADD:			
LOC balance (s) as of GPR Date	\$	172,914	
Cash on Hand:			
Grantee Program Account		-	
Subrecipients Program Accounts		-	
Revolving Fund Cash Balances		1,114,975	
Section 108 Accounts (in contract)		-	
total		\$1,287,890	
SUBTRACT:			
Grantee CDBG Program Liabilities (include any reimbursements due to the Grantee from program funds)			
Subrecipient CDBG Program Liabilities (same instructions as above)	\$	-	
total	\$	-	\$ -
TOTAL RECONCILING BALANCE:	\$	1,287,890	
UNRECONCILED DIFFERENCE:	\$	-	

When grantee or subrecipients operate their programs on a reimbursement basis, any amounts due to the grantees or subrecipients should be included in the Program Liabilities.

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PART IV

TABLES

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TABLE 2: SUMMARY OF COMMUNITY DEVELOPMENT EXPENDITURES AND ACCOMPLISHMENTS
2011-2012

Priority Need Category	CDBG Funds		Units	
	Budget	Expended	Proposed	Accomplished
AFFORDABLE HOUSING				
• Affordable Rental Housing Rehab (Housing Units)				Completed July 2012
Homestead Park (FY 2010-11).	\$ 577,232	\$ 577,232	25	
Bill Wilson Center - Peacock Commons (FY 2008-09)	\$ 100,000	\$ 100,000	28	28
• Housing Improvement Program (Housing Units)	\$ 100,000	\$ 52,530	20	16
Home Access (ADA Retrofit) Grants				
Paint Grants/Loans & Emergency Repair Grants				
Energy Efficiency Retrofit Matching Grants				
Housing Rehabilitation Loan (Revolving Loan Fund)	\$ 150,000	\$ 15,568		
• Fair Housing Outreach (Households)	n/a	n/a	30	12
Priority Need Category	CDBG Funds		Units	
	Budget	Expended	Proposed	Accomplished
COMMUNITY DEVELOPMENT				
• Human Services (Individuals)				
Bill Wilson Center	\$ 21,290	\$ 21,290	50	64
EHC LifeBuilders	\$ 20,470	\$ 4,719	20	4
Family & Children Services	\$ 18,728	\$ 18,728	26	26
MayView Community Health Center	\$ 16,376	\$ 16,376	128	128
Outreach - STAR Program	\$ 28,658	\$ 28,658	100	109
Sunnyvale Community Services	\$ 75,000	\$ 75,000	2115	2,115
YWCA - Support Network	\$ 17,195	\$ 17,195	200	143
Total	\$ 197,717	\$ 181,966	2,639	2,589
• Sidewalk, Curb & Gutter Improvements (sq. ft./linear ft.)	\$ 565,279	\$ 558,750	28,000 sq. ft. 6,000 linear ft.	28,000 sq. ft. 6,000 linear ft.
• Columbia Neighborhood Center Expansion				
Facility Expansion - close-out in FY 2012-13	\$ 396,520	\$ 340,656	1 facility	1 facility
Priority Need Category	CDBG Funds		Number of Units	
	Budget	Expended	Proposed	Accomplished
ECONOMIC DEVELOPMENT				
• Microenterprise Assistance (Businesses) (FY 2010-11)	\$ 135,372	\$ 125,221	10	8
<i>Notes:</i>				
<i>Proposed and accomplished units for Human Services represent one of various activities agencies provide. These numbers represent the Direct Benefit the agency has chosen to report</i>				

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TABLE 3: SUMMARY OF ACCOMPLISHMENTS SECOND YEAR PROGRESS IN MEETING 5-YEAR HOUSING AND COMMUNITY DEVELOPMENT OBJECTIVES

Objective		Performance Measure	FY2011-12 Accomplishment		Cumulative 2010-2015 Accomplishment	5-Year Objective	Percent of 5-Year Objective	
Goal A: Affordable Housing								
Objective	1a.	Support affordable rental housing for lower income households (E)	Housing Units	39		135	100	135%
	2a.	Assist low-income first time home buyers	Housing Units	1		4	20	20%
	3a.	Provide Housing Improvement Program (E)	Housing Units	16		39	100	39%
	4a.	Conduct outreach to the community regarding fair housing, and address any identified local barriers to fair housing choice	Households	12		38	150	25%
Goal B: Alleviation of Homelessness								
Objective	1b.	Help people who are currently homeless or at imminent risk of homelessness	Households	4		11	50	22%
Goal C: Other Community Development Efforts								
Objective	1c.	Support provision of essential human services, particularly for special needs populations	Households	2,589		4,505	9,850	46%
	2c.	Maintain/Expand Community Facilities and Infrastructure	Facilities	1		1	1	100%
			# of curb cuts	0		137	400	34%
			Sidewalk, Curb & Gutter Improvements	28,000	sq. ft.	28,000	28,000	100%
				6,000	linear ft.	6,000	6,000	100%
Goal D: Expand Economic Opportunities								
Objective	1d.	Support economic development activities that promote employment growth, and help lower-income persons secure and maintain a job	Micro-enterprises	8		8	50	16%
Goal E: Environmental Sustainability								
Objective	1e.	Support Energy Efficiency/Renewable Energy Programs	Addressed by various activities indicated with an (E) above					

TABLE 4: BENEFICIARIES SERVED/HOUSING UNITS FY 2011-12

CDBG REPORTING: BENEFICIARIES SERVED/HOUSING UNITS	TOTAL Low/Mod	Non-Moderate Income	Moderate-Income Ben 51-80%	Low Income Ben 31-50%	Extremely Low Ben <30%	White	Black/African American	Asian	American Indian/Alaskan Native	Native Hawaiian/other Pacific Islander	American Indian/Alaskan Native & White	Asian & White	Black/African American & White	Am. Indian/Alaskan Native & Black/African American	Other Multi-Racial	Total Fem Head Household	Hispanic Totals	TOTAL BENEFICIARIES	TOTAL HOUSING UNITS	
PUBLIC SERVICES	2589		186	668	1735	1974	183	287	5	93	0	11	2	0	34	244	1504	2,589		
Households Total	2589	0	186	668	1735	1974	183	287	5	93	0	11	2	0	34	244	1504	2,589		
HOUSING																				
Rental Rehab				18	10	19	8								1		13	28	28	
Rehab-Single Family/Mobile Home	1		1			1												1	1	
Paint	4		3	1		4												4	4	
Energy	1				1	1												1	1	
Emergency	1		1					1										1	1	
Households Total	7	0	5	19	11	25	8	1	0	0	0	0	0	0	0	0	13	7	7	
REMOVAL OF ARCHITECTURAL BARRIERS/OTHER																				
	9		7		2	8	1										1	9	9	
Households Total	9	0	7	0	2	8	1	0	0	0	0	0	0	0	0	0	1	9	9	

OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT

PR01 - HUD Grants and Program Income

Program	Fund Type	Grantee Name	Grant Number	Authorized Amount	Suballocated Amount	Amount Committed to Activities	Net Drawn Amount	Available to Commit	Available to Draw
CDBG	EN	SUNNYVALE	B88MC060023	\$798,000.00	\$0.00	\$798,000.00	\$798,000.00	\$0.00	\$0.00
			B89MC060023	\$849,000.00	\$0.00	\$849,000.00	\$849,000.00	\$0.00	\$0.00
			B90MC060023	\$811,000.00	\$0.00	\$811,000.00	\$811,000.00	\$0.00	\$0.00
			B91MC060023	\$906,000.00	\$0.00	\$906,000.00	\$906,000.00	\$0.00	\$0.00
			B92MC060023	\$952,000.00	\$0.00	\$952,000.00	\$952,000.00	\$0.00	\$0.00
			B93MC060023	\$1,064,000.00	\$0.00	\$1,064,000.00	\$1,064,000.00	\$0.00	\$0.00
			B94MC060023	\$1,159,000.00	\$0.00	\$1,159,000.00	\$1,159,000.00	\$0.00	\$0.00
			B95MC060023	\$1,439,000.00	\$0.00	\$1,439,000.00	\$1,439,000.00	\$0.00	\$0.00
			B96MC060023	\$1,397,000.00	\$0.00	\$1,397,000.00	\$1,397,000.00	\$0.00	\$0.00
			B97MC060023	\$1,373,000.00	\$0.00	\$1,373,000.00	\$1,373,000.00	\$0.00	\$0.00
			B98MC060023	\$1,340,000.00	\$0.00	\$1,340,000.00	\$1,340,000.00	\$0.00	\$0.00
			B99MC060023	\$1,347,000.00	\$0.00	\$1,347,000.00	\$1,347,000.00	\$0.00	\$0.00
			B00MC060023	\$1,346,000.00	\$0.00	\$1,346,000.00	\$1,346,000.00	\$0.00	\$0.00
			B01MC060023	\$1,389,000.00	\$0.00	\$1,389,000.00	\$1,389,000.00	\$0.00	\$0.00
			B02MC060023	\$1,364,000.00	\$0.00	\$1,364,000.00	\$1,364,000.00	\$0.00	\$0.00
			B03MC060023	\$1,548,000.00	\$0.00	\$1,548,000.00	\$1,548,000.00	\$0.00	\$0.00
			B04MC060023	\$1,504,000.00	\$0.00	\$1,504,000.00	\$1,504,000.00	\$0.00	\$0.00
			B05MC060023	\$1,418,817.00	\$0.00	\$1,418,817.00	\$1,418,817.00	\$0.00	\$0.00
			B06MC060023	\$1,271,752.00	\$0.00	\$1,271,752.00	\$1,271,752.00	\$0.00	\$0.00
			B07MC060023	\$1,268,078.00	\$0.00	\$1,268,078.00	\$1,268,078.00	\$0.00	\$0.00
			B08MC060023	\$1,223,626.00	\$0.00	\$1,223,626.00	\$1,223,626.00	\$0.00	\$0.00
			B09MC060023	\$1,237,110.00	\$0.00	\$1,237,110.00	\$1,237,110.00	\$0.00	\$0.00
			B10MC060023	\$1,338,319.00	\$0.00	\$1,338,319.00	\$1,338,319.00	\$0.00	\$0.00
			B11MC060023	\$1,118,139.00	\$0.00	\$1,067,111.93	\$945,224.67	\$51,027.07	\$172,914.33
B12MC060023	\$996,570.00	\$0.00	\$0.00	\$0.00	\$996,570.00	\$996,570.00			
SUNNYVALE Subtotal:				\$30,458,411.00	\$0.00	\$29,410,813.93	\$29,288,926.67	\$1,047,597.07	\$1,169,484.33
EN Subtotal:				\$30,458,411.00	\$0.00	\$29,410,813.93	\$29,288,926.67	\$1,047,597.07	\$1,169,484.33
PI	SUNNYVALE	B97MC060023	\$634,024.66	\$0.00	\$634,024.66	\$634,024.66	\$0.00	\$0.00	
		B98MC060023	\$1,026,060.14	\$0.00	\$1,026,060.14	\$1,026,060.14	\$0.00	\$0.00	
		B99MC060023	\$423,821.41	\$0.00	\$423,821.41	\$423,821.41	\$0.00	\$0.00	
		B00MC060023	\$448,870.87	\$0.00	\$448,870.87	\$448,870.87	\$0.00	\$0.00	
		B09MC060023	\$150,000.00	\$0.00	\$150,000.00	\$150,000.00	\$0.00	\$0.00	
		B10MC060023	\$106,486.46	\$0.00	\$106,486.46	\$106,486.46	\$0.00	\$0.00	
		SUNNYVALE Subtotal:				\$2,789,263.54	\$0.00	\$2,789,263.54	\$2,789,263.54
PI Subtotal:				\$2,789,263.54	\$0.00	\$2,789,263.54	\$2,789,263.54	\$0.00	\$0.00
RL	SUNNYVALE	B01MC060023	\$875,673.38	\$0.00	\$875,673.38	\$875,673.38	\$0.00	\$0.00	

OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT

PR01 - HUD Grants and Program Income

Program	Fund Type	Grantee Name	Grant Number	Authorized Amount	Suballocated Amount	Amount Committed to Activities	Net Drawn Amount	Available to Commit	Available to Draw
CDBG	RL	SUNNYVALE	B02MC060023	\$889,468.63	\$0.00	\$889,468.63	\$889,468.63	\$0.00	\$0.00
			B03MC060023	\$452,308.41	\$0.00	\$452,308.41	\$452,308.41	\$0.00	\$0.00
			B04MC060023	\$1,192,264.59	\$0.00	\$1,192,264.59	\$1,192,264.59	\$0.00	\$0.00
			B05MC060023	\$759,580.40	\$0.00	\$759,580.40	\$759,580.40	\$0.00	\$0.00
			B06MC060023	\$449,097.04	\$0.00	\$449,097.04	\$449,097.04	\$0.00	\$0.00
			B07MC060023	\$320,256.94	\$0.00	\$320,256.94	\$215,641.67	\$0.00	\$104,615.27
			B08MC060023	\$232,787.50	\$0.00	\$146,229.87	\$0.00	\$86,557.63	\$232,787.50
			B09MC060023	\$171,283.14	\$0.00	\$0.00	\$0.00	\$171,283.14	\$171,283.14
			B10MC060023	\$112,048.04	\$0.00	\$0.00	\$0.00	\$112,048.04	\$112,048.04
			B11MC060023	\$494,241.40	\$0.00	\$0.00	\$0.00	\$494,241.40	\$494,241.40
			SUNNYVALE Subtotal:				\$5,949,009.47	\$0.00	\$5,084,879.26
RL Subtotal:				\$5,949,009.47	\$0.00	\$5,084,879.26	\$4,834,034.12	\$864,130.21	\$1,114,975.35
CDBG-R	EN	SUNNYVALE	B09MY060023	\$332,405.00	\$0.00	\$332,404.00	\$332,403.85	\$1.00	\$1.15
			SUNNYVALE Subtotal:				\$332,405.00	\$0.00	\$332,404.00
EN Subtotal:				\$332,405.00	\$0.00	\$332,404.00	\$332,403.85	\$1.00	\$1.15
HOME	EN	SUNNYVALE	M92MC060222	\$750,000.00	\$112,500.00	\$637,500.00	\$637,500.00	\$0.00	\$0.00
			M93MC060222	\$385,000.00	\$57,750.00	\$327,250.00	\$327,250.00	\$0.00	\$0.00
			M94MC060222	\$441,000.00	\$356,000.00	\$85,000.00	\$85,000.00	\$0.00	\$0.00
			M95MC060222	\$484,000.00	\$121,150.00	\$362,850.00	\$362,850.00	\$0.00	\$0.00
			M96MC060222	\$494,000.00	\$245,600.00	\$248,400.00	\$248,400.00	\$0.00	\$0.00
			M97MC060222	\$481,000.00	\$481,000.00	\$0.00	\$0.00	\$0.00	\$0.00
			M98MC060222	\$518,000.00	\$137,925.70	\$380,074.30	\$380,074.30	\$0.00	\$0.00
			M99MC060222	\$555,000.00	\$131,554.75	\$423,445.25	\$423,445.25	\$0.00	\$0.00
			M00MC060222	\$558,000.00	\$83,700.00	\$474,300.00	\$474,300.00	\$0.00	\$0.00
			M01MC060222	\$619,000.00	\$215,564.45	\$403,435.55	\$403,435.55	\$0.00	\$0.00
			M02MC060222	\$617,000.00	\$148,950.27	\$468,049.73	\$468,049.73	\$0.00	\$0.00
			M03MC060222	\$777,829.00	\$335,109.54	\$442,719.46	\$442,719.46	\$0.00	\$0.00
			M04MC060222	\$777,156.00	\$497,993.91	\$279,162.09	\$279,162.09	\$0.00	\$0.00
			M05MC060222	\$747,978.00	\$74,797.80	\$673,180.20	\$673,180.20	\$0.00	\$0.00
			M06MC060222	\$703,400.00	\$70,340.00	\$633,060.00	\$633,060.00	\$0.00	\$0.00
			M07MC060222	\$699,969.00	\$82,632.36	\$617,336.64	\$617,336.64	\$0.00	\$0.00
M08MC060222	\$679,202.00	\$76,668.60	\$602,533.40	\$602,533.40	\$0.00	\$0.00			
M09MC060222	\$761,634.00	\$190,408.50	\$571,225.50	\$251,400.21	\$0.00	\$319,825.29			
M10MC060222	\$758,883.00	\$189,720.75	\$569,162.25	\$0.00	\$0.00	\$569,162.25			
M11MC060222	\$670,399.00	\$167,599.75	\$420,216.47	\$0.00	\$82,582.78	\$502,799.25			
M12MC060222	\$320,568.00	\$80,142.00	\$0.00	\$0.00	\$240,426.00	\$240,426.00			

PR01 - HUD Grants and Program Income

Program	Fund Type	Grantee Name	Grant Number	Authorized Amount	Suballocated Amount	Amount Committed to Activities	Net Drawn Amount	Available to Commit	Available to Draw	
HOME	EN	SUNNYVALE	SUNNYVALE Subtotal:	\$12,799,018.00	\$3,857,108.38	\$8,618,900.84	\$7,309,696.83	\$323,008.78	\$1,632,212.79	
			EN Subtotal:	\$12,799,018.00	\$3,857,108.38	\$8,618,900.84	\$7,309,696.83	\$323,008.78	\$1,632,212.79	
	PI	SUNNYVALE	M00MC060222		\$23,079.50	\$0.00	\$23,079.50	\$23,079.50	\$0.00	\$0.00
			M01MC060222		\$11,544.00	\$0.00	\$11,544.00	\$11,544.00	\$0.00	\$0.00
			M02MC060222		\$426,643.21	\$0.00	\$426,643.21	\$426,643.21	\$0.00	\$0.00
			M08MC060222		\$87,484.00	\$0.00	\$87,484.00	\$87,484.00	\$0.00	\$0.00
			M11MC060222		\$1,530,142.46	\$0.00	\$92,671.88	\$92,671.88	\$1,437,470.58	\$1,437,470.58
			SUNNYVALE Subtotal:	\$2,078,893.17	\$0.00	\$641,422.59	\$641,422.59	\$1,437,470.58	\$1,437,470.58	
		PI Subtotal:	\$2,078,893.17	\$0.00	\$641,422.59	\$641,422.59	\$1,437,470.58	\$1,437,470.58		
	HPRP	EN	SUNNYVALE	S09MY060023	\$508,191.00	\$0.00	\$508,191.00	\$508,191.00	\$0.00	\$0.00
			SUNNYVALE Subtotal:	\$508,191.00	\$0.00	\$508,191.00	\$508,191.00	\$0.00	\$0.00	
			EN Subtotal:	\$508,191.00	\$0.00	\$508,191.00	\$508,191.00	\$0.00	\$0.00	
GRANTEE TOTALS				\$54,915,191.18	\$3,857,108.38	\$47,385,875.16	\$45,703,938.60	\$3,672,207.64	\$5,354,144.20	

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 List of Activities By Program Year And Project
 SUNNYVALE,CA

REPORT FOR CPD PROGRAM CDBG
 PGM YR 2011

Funding Agency: SUNNYVALE

Plan Year	IDIS Project	Project	IDIS Activity ID	Activity Name	Activity Status	Program	Funded Amount	Draw Amount	Balance
2011	1	Housing Impr Prog (Access, Paint, and Energy Efficiency) LMH	431	Housing Impr Prog - Minor Rehab	Completed	CDBG	\$52,530.30	\$52,530.30	\$0.00
		Project Total					\$52,530.30	\$52,530.30	\$0.00
	2	Housing Improvement Program Operations	432	Housing Improvement Program Operations	Completed	CDBG	\$218,356.84	\$218,356.84	\$0.00
		Project Total					\$218,356.84	\$218,356.84	\$0.00
	3	CDBG Planning & Administration	433	CDBG Admin	Completed	CDBG	\$253,623.00	\$253,623.00	\$0.00
		Project Total					\$253,623.00	\$253,623.00	\$0.00
	4	ADA Retrofits to Sidewalks & Street/Sidewalk Improvements	434	ADA Retrofit and Sidewalk Improvements	Open	CDBG	\$577,302.50	\$558,749.06	\$18,553.44
		Project Total					\$577,302.50	\$558,749.06	\$18,553.44
	5	Housing Improvement Program (RLF)-SF Rehab (LMH)	435	Housing Improvement-Substantial Rehab	Completed	CDBG	\$15,567.64	\$15,567.64	\$0.00
		Project Total					\$15,567.64	\$15,567.64	\$0.00
	9	Bill Wilson Center	439	Bill Wilson Center	Completed	CDBG	\$21,290.00	\$21,290.00	\$0.00
		Project Total					\$21,290.00	\$21,290.00	\$0.00
	10	Outreach and Escort	440	STAR - Senior Transportation Program	Completed	CDBG	\$28,658.00	\$28,658.00	\$0.00
		Project Total					\$28,658.00	\$28,658.00	\$0.00
	11	EHC LifeBuilders, Inc	441	EHC LifeBuilders	Completed	CDBG	\$4,718.65	\$4,718.65	\$0.00
		Project Total					\$4,718.65	\$4,718.65	\$0.00
	12	Family & Children Services	442	Family & Children Services	Completed	CDBG	\$18,728.00	\$18,728.00	\$0.00
		Project Total					\$18,728.00	\$18,728.00	\$0.00
	13	May View Community Health Center	443	May View Community Health Center	Completed	CDBG	\$16,376.00	\$16,376.00	\$0.00
		Project Total					\$16,376.00	\$16,376.00	\$0.00
	14	YWCA of Silicon Valley (Support Network)	444	Support Network	Completed	CDBG	\$17,195.00	\$17,195.00	\$0.00
		Project Total					\$17,195.00	\$17,195.00	\$0.00
	15	Sunnyvale Community Services	445	Sunnyvale Community Services - CDBG	Completed	CDBG	\$75,000.00	\$75,000.00	\$0.00
		Project Total					\$75,000.00	\$75,000.00	\$0.00
	16	Columbia Neighborhood Center Expansion (2011)	446	CNC Expansion	Open	CDBG	\$396,520.00	\$340,655.88	\$55,864.12
		Project Total					\$396,520.00	\$340,655.88	\$55,864.12
		Program Total				CDBG	\$1,695,865.93	\$1,621,448.37	\$74,417.56
		2011 Total					\$1,695,865.93	\$1,621,448.37	\$74,417.56
		Program Grand Total				CDBG	\$1,695,865.93	\$1,621,448.37	\$74,417.56
		Grand Total					\$1,695,865.93	\$1,621,448.37	\$74,417.56

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 List of Activities By Program Year And Project
 SUNNYVALE,CA

REPORT FOR CPD PROGRAM HOME
 PGM YR 2011

Funding Agency: SUNNYVALE

Plan Year	IDIS Project	Project	IDIS Activity ID	Activity Name	Activity Status	Program	Funded Amount	Draw Amount	Balance
2011	6	Admin-HOME Program Administration & Monitoring	436	HOME Administration	Open	HOME	\$67,039.90	\$67,039.90	\$0.00
		Project Total					\$67,039.90	\$67,039.90	\$0.00
	7	HOME- Rental Hsg Acq/Rehab/Construction	437	Momentum for Mental Health-Supported Housing Reconstruction	Open	HOME	\$291,931.10	\$49,528.23	\$242,402.87
		Project Total					\$291,931.10	\$49,528.23	\$242,402.87
	8	First Time Homebuyer (FTHB) Assistance (Housing)	438	FTHB-Ehtisham	Completed	HOME	\$34,980.00	\$34,980.00	\$0.00
		Project Total					\$34,980.00	\$34,980.00	\$0.00
		Program Total				HOME	\$393,951.00	\$151,548.13	\$242,402.87
		2011 Total					\$393,951.00	\$151,548.13	\$242,402.87
		Program Grand Total				HOME	\$393,951.00	\$151,548.13	\$242,402.87
		Grand Total					\$393,951.00	\$151,548.13	\$242,402.87



U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 CDBG Activity Summary Report (GPR) for Program Year 2011
 SUNNYVALE

Date: 21-Sep-2012
 Time: 11:56

PGM Year: 2008
Project: 0009 - HOUSING REHABILITATION LMH-RENTAL
IDIS Activity: 346 - HOUSING REHABILITATION LOANS (RLF)

Status: Completed 9/19/2012 12:00:00 AM
Location: 3661 Peacock Ct Santa Clara, CA 95051-4261

Objective: Provide decent affordable housing
Outcome: Sustainability
Matrix Code: Rehab; Multi-Unit Residential (14B) **National Objective:** LMH

Initial Funding 12/03/2008

Financing

Funded Amount: 100,000.00
 Drawn Thru Program Year: 100,000.00
 Drawn In Program Year: 0.00

Description:

PROJECT WILL FUND RLF FOR THE REHABILITATION OF APPROX 28 RENTAL UNITS. BILL WILSON: THE COMMONS REHAB PROJECT LOCATED AT 3661 PEACOCK COURT

Proposed Accomplishments

Housing Units : 28

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	19	13	19	13	0	0
Black/African American:	0	0	8	0	8	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	1	0	1	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	28	13	28	13	0	0
Female-headed Households:	0		0		0			



U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 CDBG Activity Summary Report (GPR) for Program Year 2011
 SUNNYVALE

Date: 21-Sep-2012
 Time: 11:56

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	9	9	0
Low Mod	0	19	19	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	28	28	0
Percent Low/Mod		100.0%	100.0%	

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2008	Project Underway: The project is anticipated to begin early next year. The Bill Wilson Center is still waiting to secure State bond financing.	
2009	The City provided \$100,000 in CDBG funds for rehabilitation of Peacock Court Apartments, a 28-unit apartment complex. When the project is completed, it will provide transitional housing and supportive services for youth (aging out of foster care) in 21 units, 6 adult mentor units and 1 manager unit. Thirteen of these units will be affordable to youth of extremely low-income. The project is anticipated to begin early fall.	
2010	During PY0809, the City provided \$100,000 in CDBG funds for rehabilitation of Peacock Court Apartments, a 28-unit apartment complex. The project will provide transitional housing and supportive services for youth (aging out of foster care) in 21 units, 6 adult mentor units, and 1 manager unit. Thirteen of these units will be affordable to youth of extremely low-income. The project is 60% complete with a projected completion date by the end of the year.	
2011	The project was completed at the beginning of the year and provides transitional housing and supportive services for youth (aging out of foster care) in 21 units, 6 "adult mentor" units and 1 manager unit. Thirteen of these units are affordable to youth of extremely low-income.	



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PGM Year: 2010
Project: 0020 - Micro-Enterprise Assistance
IDIS Activity: 419 - Micro-Enterprise Assistance

Status: Completed 8/15/2012 5:39:26 PM
Location: 456 W Olive Ave Sunnyvale, CA 94086-7619

Objective: Create economic opportunities
Outcome: Availability/accessibility
Matrix Code: Micro-Enterprise Assistance (18C) **National Objective:** LMC

Initial Funding 12/21/2010

Financing
 Funded Amount: 125,221.17
 Drawn Thru Program Year: 125,221.17
 Drawn In Program Year: 79,811.55

Description:
 This activity will provide micro-enterprise assistance to help those unemployed for more than six months to start new businesses. Assistance will include training, tuition and fees for education, and micro loans for entrepreneurs.

Proposed Accomplishments

People (General) : 10

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	7	5
Black/African American:	0	0	0	0	0	0	1	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	1	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	9	5
Female-headed Households:	0		0		0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	9
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	9
Percent Low/Mod				100.0%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefiting
2010	Of the three new CDBG pilot programs, the Micro-enterprise program, also developed in partnership with NOVA, was the most successful. NOVA, in collaboration with Housing and Economic Development staff, held a series of seminars for micro-enterprise owners to introduce the program and other resources for small businesses. Grants are currently being issued to successful applicants. A member of the Grant Selection Committee called this program a model for the region and lauded Sunnyvale for its leadership in this area. The program offered training, technical assistance, and seed grants which were provided on a competitive basis to eligible micro-enterprise owners. One grant was provided during FY 2011-12 and five more are currently in process.	
2011	During PY 2011, seven additional microenterprise assistance grants were awarded to new entrepreneurs for a total of eight grants. These seed grants provided assistance to the following types of businesses: Taxi Cab Service; Columbian treatments to shape the body and reduce cellulite; Business consulting and education; Yoga fitness classes; Custom-made leather suits for motorcycle riding; Visual branding and web graphic design services; Daycare center for children ages 4 months to 5 years old; and Custom cakes, cupcakes, and desserts.	



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PGM Year: 2010
Project: 0023 - Housing Improvement Program (RLF)-MF Rehab (LMH)
IDIS Activity: 430 - Homestead Park Rehab-2010

Status: Open **Objective:** Provide decent affordable housing
Location: 1610 Tenaka Pl Sunnyvale, CA 94087-5746 **Outcome:** Affordability
Matrix Code: Rehab; Multi-Unit Residential (14B) **National Objective:** LMH

Initial Funding 06/15/2011

Description:

Financing
 Funded Amount: 577,232.00
 Drawn Thru Program Year: 460,819.22
 Drawn In Program Year: 460,819.22

Proposed Accomplishments

Housing Units : 19

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0							
Female-headed Households:	0		0		0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2010	The City provided \$577,232 in CDBG funds for rehabilitation of four buildings with a total of twenty-five apartment units at Homestead Park Apartments, to create more energy efficient buildings. The project has experienced delay due to its first lender, CalHFA, and no funds were expended during the program year. Completion is expected in Spring 2012.	
2011	This project was delayed until Spring 2012 due to extensive review by the developer's senior lender. Exterior rehabilitation of four buildings with a total of 25 units was completed by July 2012, and final retention payment will be made by September 2012.	



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PGM Year: 2011
Project: 0001 - Housing Impr Prog (Access, Paint, and Energy Efficiency) LMH
IDIS Activity: 431 - Housing Impr Prog - Minor Rehab

Status: Completed 8/28/2012 12:00:00 AM
Location: 1111 Morse Ave Spc 149 Sunnyvale, CA 94089-1622
Objective: Provide decent affordable housing
Outcome: Availability/accessibility
Matrix Code: Rehab; Single-Unit Residential (14A) **National Objective:** LMH

Initial Funding 10/18/2011
Financing
 Funded Amount: 52,530.30
 Drawn Thru Program Year: 52,530.30
 Drawn In Program Year: 52,530.30

Description:
 This activity includes 3 types of assistance: 1) the Home Access program which provides ADA retrofits often needed by disabled people and/or seniors, such as the installation and maintenance of wheelchair lifts and ramps; 2) Paint grants loans which include funding and assistance for lead hazard assessment and reduction services, as well as for repainting homes, and Emergency Repair grants for life-threatening building hazards, as determined by City staff; and 3) Energy Efficiency Retrofit Matching Grants that will be provided in

Proposed Accomplishments

Housing Units : 20

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	14	1	0	0	14	1	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	1	0	0	0	1	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	15	1	0	0	15	1	0	0
Female-headed Households:	0		0		0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low	3	0	3	0
Low Mod	1	0	1	0
Moderate	11	0	11	0
Non Low Moderate	0	0	0	0
Total	15	0	15	0
Percent Low/Mod	100.0%		100.0%	

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	A total of \$52,530 was expended to provide accessibility improvements at nine homes occupied by disabled persons, including installation of electric wheelchair lifts to residents of mobile homes, and paint and/or emergency repairs at five homes. This program continues to be very popular and five additional applications were approved in FY 2011. Remaining balance: \$47,469.70 - Carryover \$18,928 to FY 2012/13 and Disencumber \$28,541.70.	



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PGM Year: 2011
Project: 0002 - Housing Improvement Program Operations
IDIS Activity: 432 - Housing Improvement Program Operations

Status: Completed 8/28/2012 12:00:00 AM
Location: 456 W Olive Ave Sunnyvale, CA 94086-7619
Objective: Provide decent affordable housing
Outcome: Availability/accessibility
Matrix Code: Rehabilitation Administration (14H) **National Objective:** LMH

Initial Funding 10/18/2011
Financing
 Funded Amount: 218,356.84
 Drawn Thru Program Year: 218,356.84
 Drawn In Program Year: 218,356.84

Description:
 This activity includes working with: applicants, property owners, non-profit agencies, and contractors to improve housing units. Improving a housing unit includes the following: outreach and marketing efforts, developing and reviewing applications, conducting inspections, preparing scopes of work and cost estimates, reviewing bids, maintaining and reviewing loan files, preparing contracts and loan documents, monitoring and managing the construction process and undertaking the customer satisfaction survey.

Proposed Accomplishments
Actual Accomplishments

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
<i>Number assisted:</i>								
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0							
Female-headed Households:	0		0		0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	A total of \$218,356.84 was expended to administer the Housing Improvement Program, including indirect costs.	



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PGM Year: 2011
Project: 0003 - CDBG Planning & Administration
IDIS Activity: 433 - CDBG Admin

Status: Completed 8/28/2012 12:00:00 AM
Location: ,

Objective:
Outcome:
Matrix Code: General Program Administration (21A) **National Objective:**

Initial Funding 10/18/2011
Financing
 Funded Amount: 253,623.00
 Drawn Thru Program Year: 253,623.00
 Drawn In Program Year: 253,623.00

Description:
 This activity will fund overall program administration including oversight, fair housing activities, management information, monitoring and coordination of the CDBG Program. It excludes the costs of operating the Housing Improvement Program.

Proposed Accomplishments
Actual Accomplishments

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
<i>Number assisted:</i>								
White:					0	0		
Black/African American:					0	0		
Asian:					0	0		
American Indian/Alaskan Native:					0	0		
Native Hawaiian/Other Pacific Islander:					0	0		
American Indian/Alaskan Native & White:					0	0		
Asian White:					0	0		
Black/African American & White:					0	0		
American Indian/Alaskan Native & Black/African American:					0	0		
Other multi-racial:					0	0		
Asian/Pacific Islander:					0	0		
Hispanic:					0	0		
Total:	0	0	0	0	0	0	0	0
Female-headed Households:					0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low			0	
Low Mod			0	
Moderate			0	
Non Low Moderate			0	
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments



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PGM Year: 2011
Project: 0004 - ADA Retrofits to Sidewalks & Street/Sidewalk Improvements
IDIS Activity: 434 - ADA Retrofit and Sidewalk Improvements

Status: Open	Objective: Create suitable living environments	
Location: 456 W Olive Ave Sunnyvale, CA 94086-7619	Outcome: Availability/accessibility	
	Matrix Code: Sidewalks (03L)	National Objective: LMA

Initial Funding 10/18/2011

Financing

Funded Amount:	577,302.50
Drawn Thru Program Year:	558,749.06
Drawn In Program Year:	558,749.06

Description:
 This project will retrofit as many curbs as possible each year so that all intersections in the City conform to ADA requirements and provide substantial reconstruction and/or improvement of sidewalks and related facilities in one or more eligible block groups to improve neighborhood safety.

Proposed Accomplishments

Public Facilities : 171
 Total Population in Service Area: 25,096
 Census Tract Percent Low / Mod: 47.50

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	During the program year, Public Works determined that sidewalk, curbs and gutter improvements were more urgently needed than additional curb cuts (171). The City completed sidewalk improvements in a number of CDBG-eligible lower-income neighborhoods. A total of 39,000 square feet of sidewalks; and 6,000 linear feet of curbs and gutters were replaced, and several crosswalks and meter boxes at intersections were replaced during the program year. Project close-out upon final retention payment in FY 2012.	



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PGM Year: 2011
Project: 0005 - Housing Improvement Program (RLF)-SF Rehab (LMH)
IDIS Activity: 435 - Housing Improvement-Substantial Rehab

Status: Completed 8/28/2012 12:00:00 AM	Objective: Provide decent affordable housing	
Location: 600 E Weddell Dr Spc 129 Sunnyvale, CA 94089-1758	Outcome: Affordability	
	Matrix Code: Rehab; Single-Unit Residential (14A)	National Objective: LMH

Initial Funding 10/20/2011

Financing

Funded Amount:	15,567.64
Drawn Thru Program Year:	15,567.64
Drawn In Program Year:	15,567.64

Description:
 This activity provides loans for major rehabilitation of single family and/or manufactured mobile homes, using funds from the City's CDBG Revolving Loan Fund (RLF).

Proposed Accomplishments

Housing Units : 20

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	1	0	0	0	1	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	1	0	0	0	1	0	0	0
 Female-headed Households:	 0		 0		 0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	1	0	1	0
Non Low Moderate	0	0	0	0
Total	1	0	1	0
Percent Low/Mod	100.0%		100.0%	

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	This was a key priority of the five-year Consolidated Plan. The program is available city-wide, and included the substantial rehabilitation of one home. During the reporting period the City provided one rehabilitation loan for over \$15,000 from its revolving loan fund. Efforts are underway to increase public awareness about the program. Remaining funds: \$134,432. Carryover \$29,882 for FY 2011/12 projects underway to 12/13 activity. Disencumber \$104,550.	



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PGM Year: 2011
Project: 0009 - Bill Wilson Center
IDIS Activity: 439 - Bill Wilson Center

Status: Completed 8/22/2012 12:00:00 AM
Location: 3490 The Alameda Santa Clara, CA 95050-4333
Objective: Create suitable living environments
Outcome: Availability/accessibility
Matrix Code: Youth Services (05D) **National Objective:** LMC

Initial Funding 12/16/2011

Financing
 Funded Amount: 21,290.00
 Drawn Thru Program Year: 21,290.00
 Drawn In Program Year: 21,290.00

Description:
 Agency provides individual, group, and family counseling, and school based outreach to low-income and homeless Sunnyvale at-risk youth.

Proposed Accomplishments

People (General) : 64

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	45	25
Black/African American:	0	0	0	0	0	0	6	0
Asian:	0	0	0	0	0	0	7	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	2	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	4	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	64	25
Female-headed Households:	0		0		0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	61
Low Mod	0	0	0	1
Moderate	0	0	0	2
Non Low Moderate	0	0	0	0
Total	0	0	0	64
Percent Low/Mod				100.0%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	Bill Wilson Center provided 240 individual, family, child, adolescent and group counseling sessions to 64 Sunnyvale clients. In addition, it continued its outreach efforts to increase awareness about its services in the community.	



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PGM Year: 2011
Project: 0010 - Outreach and Escort
IDIS Activity: 440 - STAR - Senior Transportation Program

Status: Completed 8/22/2012 12:00:00 AM
Location: 926 Rock Ave San Jose, CA 95131-1605

Objective: Create suitable living environments
Outcome: Availability/accessibility
Matrix Code: Senior Services (05A) **National Objective:** LMC

Initial Funding 12/16/2011

Financing
 Funded Amount: 28,658.00
 Drawn Thru Program Year: 28,658.00
 Drawn In Program Year: 28,658.00

Description:
 The Senior Transportation Program addresses the need for affordable, reliable and available transportation for Sunnyvale seniors.

Proposed Accomplishments

People (General) : 109

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	33	3
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	75	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	1	1
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	109	4
Female-headed Households:	0		0		0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	109
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	109
Percent Low/Mod				100.0%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	Outreach was able to provide 2905 one-way trips to 109 Sunnyvale senior residents. Other benefits of the program include access to demand-response rides and public transit passes.	



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PGM Year: 2011
Project: 0011 - EHC LifeBuilders, Inc
IDIS Activity: 441 - EHC LifeBuilders

Status: Completed 8/22/2012 12:00:00 AM
Location: 507 Valley Way Milpitas, CA 95035-4105

Objective: Provide decent affordable housing
Outcome: Affordability
Matrix Code: Public Services (General) (05) **National Objective:** LMC

Initial Funding 12/16/2011

Financing
 Funded Amount: 4,718.65
 Drawn Thru Program Year: 4,718.65
 Drawn In Program Year: 4,718.65

Description:
 EHC will provide up to two consecutive months of emergency rental or rental deposit assistance to up to 20 Sunnyvale households.

Proposed Accomplishments

People (General) : 4

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	4	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	4	0
Female-headed Households:	0		0		0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	4
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	4
Percent Low/Mod				100.0%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	EHC fell short of its goal and was only able to provide rental deposit and rental assistance to only 4 Sunnysvale clients.	



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PGM Year: 2011
Project: 0012 - Family & Children Services
IDIS Activity: 442 - Family & Children Services

Status: Completed 8/22/2012 12:00:00 AM
Location: 375 Cambridge Ave Palo Alto, CA 94306-1613

Objective: Create suitable living environments
Outcome: Availability/accessibility
Matrix Code: Youth Services (05D) **National Objective:** LMC

Initial Funding 12/16/2011

Financing
 Funded Amount: 18,728.00
 Drawn Thru Program Year: 18,728.00
 Drawn In Program Year: 18,728.00

Description:
 Agency provides youth counseling services on-site at the Columbia Neighborhood Center. The program offers school-based counseling, crisis intervention and referrals.

Proposed Accomplishments

People (General) : 26

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	21	17
Black/African American:	0	0	0	0	0	0	1	0
Asian:	0	0	0	0	0	0	3	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	1	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	26	17
Female-headed Households:	0		0		0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	4
Low Mod	0	0	0	17
Moderate	0	0	0	5
Non Low Moderate	0	0	0	0
Total	0	0	0	26
Percent Low/Mod				100.0%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	Family and Children Services provided 211 counseling services to 26 Sunnyvale at-risk youth and their families at the Columbia Neighborhood Center. Additionally, provided referrals for other services and worked closely with parents and social workers.	



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Date: 21-Sep-2012
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PGM Year: 2011
Project: 0013 - May View Community Health Center
IDIS Activity: 443 - May View Community Health Center

Status: Completed 8/22/2012 12:00:00 AM	Objective: Create suitable living environments	
Location: 270 Grant Ave Palo Alto, CA 94306-1911	Outcome: Availability/accessibility	
	Matrix Code: Health Services (05M)	National Objective: LMC

Initial Funding 12/16/2011

Financing

Funded Amount:	16,376.00
Drawn Thru Program Year:	16,376.00
Drawn In Program Year:	16,376.00

Description:
 Operating out of the Columbia Neighborhood Center, May View provides Primary Care, immunizations, prenatal, and adult and well child visits to at-risk youth in Sunnyvale.

Proposed Accomplishments

People (General) : 128

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	99	98
Black/African American:	0	0	0	0	0	0	2	0
Asian:	0	0	0	0	0	0	11	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	15	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	128	98
 Female-headed Households:	 0		 0		 0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	128
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	128
Percent Low/Mod				100.0%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	May View Community Health provided 128 primary health care visits to 128 uninsured Sunnyvale residents.	



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PGM Year: 2011
Project: 0014 - YWCA of Silicon Valley (Support Network)
IDIS Activity: 444 - Support Network

Status: Completed 8/22/2012 12:00:00 AM
Location: 1257 Tasman Dr Ste C Suite C Sunnyvale, CA 94089-2251
Objective: Create suitable living environments
Outcome: Availability/accessibility
Matrix Code: Battered and Abused Spouses (05G) **National Objective:** LMC

Initial Funding 12/16/2011

Financing
 Funded Amount: 17,195.00
 Drawn Thru Program Year: 17,195.00
 Drawn In Program Year: 17,195.00

Description:
 Support Network provides crisis intervention through legal advocacy, clinical therapy for children and families who are victims of domestic violence.

Proposed Accomplishments

People (General) : 143

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	102	66
Black/African American:	0	0	0	0	0	0	11	0
Asian:	0	0	0	0	0	0	13	0
American Indian/Alaskan Native:	0	0	0	0	0	0	3	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	13	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	143	66
Female-headed Households:	0		0		0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	107
Low Mod	0	0	0	26
Moderate	0	0	0	10
Non Low Moderate	0	0	0	0
Total	0	0	0	143
Percent Low/Mod				100.0%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	YWCA - Support Network provided the planned 338 counseling sessions to 143 Sunnyvale clients, not necessarily falling short of the goal to serve 200 clients, but adjusting the need for more counseling sessions by 143 clients serves.	



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PGM Year: 2011
Project: 0015 - Sunnyvale Community Services
IDIS Activity: 445 - Sunnyvale Community Services - CDBG

Status: Completed 8/22/2012 12:00:00 AM
Location: 725 Kifer Rd Sunnyvale, CA 94086-5123
Objective: Create suitable living environments
Outcome: Availability/accessibility
Matrix Code: Public Services (General) (05) **National Objective:** LMC

Initial Funding 12/16/2011

Financing
 Funded Amount: 75,000.00
 Drawn Thru Program Year: 75,000.00
 Drawn In Program Year: 75,000.00

Description:
 Provides financial assistance and food to prevent homelessness and hunger, counseling, advocacy, and referrals, translation services and services for seniors.

Proposed Accomplishments
 People (General) : 2,115

Actual Accomplishments

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
<i>Number assisted:</i>								
White:	0	0	0	0	0	0	1,670	1,269
Black/African American:	0	0	0	0	0	0	163	23
Asian:	0	0	0	0	0	0	178	2
American Indian/Alaskan Native:	0	0	0	0	0	0	2	1
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	89	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	11	0
Black/African American & White:	0	0	0	0	0	0	2	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	2,115	1,295
Female-headed Households:	0		0		0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	1,431
Low Mod	0	0	0	684
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	2,115
Percent Low/Mod				100.0%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	Sunnyvale Community Services provided 3000 bags of food to 2115 (705 families) through its Community Christmas Food Program. Each family received an average of 4.25 bags of food.	



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PGM Year: 2011
Project: 0016 - Columbia Neighborhood Center Expansion (2011)
IDIS Activity: 446 - CNC Expansion

Status: Open	Objective: Create suitable living environments
Location: 785 Morse Ave Sunnyvale, CA 94085-3010	Outcome: Availability/accessibility
	Matrix Code: Neighborhood Facilities (03E) National Objective: LMC

Initial Funding 02/02/2012

Financing

Funded Amount:	396,520.00
Drawn Thru Program Year:	340,655.88
Drawn In Program Year:	340,655.88

Description:
 The expansion of approximately 3,500 square feet will enable the Center to provide more effective health services and expand social, educational, and recreational services. The \$396,520 allocation will provide the final portion of the City's total commitment of \$1.5 million over several years to fund the construction of the project which is scheduled to be completed in September 2011.

Proposed Accomplishments

Public Facilities : 1

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	0	0
Female-headed Households:	0		0		0			



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Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	The multi-year Columbia Neighborhood Center (CNC) expansion project was completed in May. However, final project close-out will occur not until PY 2012-13. This project will benefit lower-income youth and families through services that will improve self-sufficiency, life skills, and/or quality of life.	

Total Funded Amount: \$5,565,582.82
Total Drawn Thru Program Year: \$5,374,752.48
Total Drawn In Program Year: \$2,777,930.85

Plan IDIS Year Project	Project Title and Description	Program	Project Estimate	Committed Amount	Amount Drawn Thru Report Year	Amount Available to Draw	Amount Drawn in Report Year
2011 1	Housing Impr Prog (Access, Paint, and Energy Efficiency) LMH	CDBG	\$100,000.00	\$52,530.30	\$52,530.30	\$0.00	\$52,530.30
2	Housing Improvement Program Operations	CDBG	\$218,350.00	\$218,356.84	\$218,356.84	\$0.00	\$218,356.84
3	CDBG Planning & Administration	CDBG	\$253,623.00	\$253,623.00	\$253,623.00	\$0.00	\$253,623.00
4	ADA Retrofits to Sidewalks & Street/Sidewalk Improvements	CDBG	\$577,303.00	\$577,302.50	\$558,749.06	\$18,553.44	\$558,749.06
5	Housing Improvement Program (RLF)-SF Rehab (LMH)	CDBG	\$150,000.00	\$15,567.64	\$15,567.64	\$0.00	\$15,567.64
6	Admin-HOME Program Administration & Monitoring	HOME	\$0.00	\$67,039.90	\$45,986.93	\$21,052.97	\$45,986.93
7	HOME- Rental Hsg Acq/Rehab/Construction	HOME	\$291,000.00	\$291,931.10	\$16,137.78	\$275,793.32	\$16,137.78

OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT

PR06 - Summary of Consolidated Plan Projects for Report Year

Plan IDIS Year Project	Project Title and Description	Program	Project Estimate	Committed Amount	Amount Drawn Thru Report Year	Amount Available to Draw	Amount Drawn in Report Year
2011 8	First Time Homebuyer (FTHB) Assistance (Housing)	HOME	\$150,000.00	\$34,980.00	\$34,980.00	\$0.00	\$34,980.00
9	Bill Wilson Center	CDBG	\$21,290.00	\$21,290.00	\$21,290.00	\$0.00	\$21,290.00
10	Outreach and Escort	CDBG	\$28,658.00	\$28,658.00	\$28,658.00	\$0.00	\$28,658.00
11	EHC LifeBuilders, Inc	CDBG	\$20,470.00	\$4,718.65	\$4,718.65	\$0.00	\$4,718.65
12	Family & Children Services	CDBG	\$18,728.00	\$18,728.00	\$18,728.00	\$0.00	\$18,728.00
13	May View Community Health Center	CDBG	\$16,376.00	\$16,376.00	\$16,376.00	\$0.00	\$16,376.00
14	YWCA of Silicon Valley (Support Network)	CDBG	\$17,195.00	\$17,195.00	\$17,195.00	\$0.00	\$17,195.00
15	Sunnyvale Community Services	CDBG	\$75,000.00	\$75,000.00	\$75,000.00	\$0.00	\$75,000.00
16	Columbia Neighborhood Center Expansion (2011)	CDBG	\$396,520.00	\$396,520.00	\$340,655.88	\$55,864.12	\$340,655.88



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Count of CDBG Activities with Disbursements by Activity Group & Matrix Code

Activity Group	Activity Category	Underway Count	Underway Activities Disbursed	Completed Count	Completed Activities Disbursed	Program Year Count	Total Activities Disbursed
Economic Development	Rehab; Publicly or Privately-Owned Commercial/Industrial (14E)	0	\$0.00	1	\$0.00	1	\$0.00
	Micro-Enterprise Assistance (18C)	0	\$0.00	1	\$79,811.55	1	\$79,811.55
	Total Economic Development	0	\$0.00	2	\$79,811.55	2	\$79,811.55
Housing	Rehab; Single-Unit Residential (14A)	0	\$0.00	4	\$68,097.94	4	\$68,097.94
	Rehab; Multi-Unit Residential (14B)	1	\$460,819.22	1	\$0.00	2	\$460,819.22
	Rehabilitation Administration (14H)	0	\$0.00	2	\$218,356.84	2	\$218,356.84
	Total Housing	1	\$460,819.22	7	\$286,454.78	8	\$747,274.00
Public Facilities and Improvements	Neighborhood Facilities (03E)	4	\$787,142.47	0	\$0.00	4	\$787,142.47
	Street Improvements (03K)	0	\$0.00	1	\$0.00	1	\$0.00
	Sidewalks (03L)	1	\$558,749.06	1	\$169,365.12	2	\$728,114.18
	Total Public Facilities and Improvements	5	\$1,345,891.53	2	\$169,365.12	7	\$1,515,256.65
Public Services	Public Services (General) (05)	0	\$0.00	5	\$79,718.65	5	\$79,718.65
	Senior Services (05A)	0	\$0.00	5	\$28,658.00	5	\$28,658.00
	Legal Services (05C)	0	\$0.00	1	\$0.00	1	\$0.00
	Youth Services (05D)	0	\$0.00	5	\$40,018.00	5	\$40,018.00
	Battered and Abused Spouses (05G)	0	\$0.00	2	\$17,195.00	2	\$17,195.00
	Health Services (05M)	0	\$0.00	1	\$16,376.00	1	\$16,376.00
	Total Public Services	0	\$0.00	19	\$181,965.65	19	\$181,965.65
General Administration and Planning	General Program Administration (21A)	0	\$0.00	2	\$253,623.00	2	\$253,623.00
	Fair Housing Activities (subject to 20% Admin Cap) (21D)	0	\$0.00	1	\$0.00	1	\$0.00
	Total General Administration and Planning	0	\$0.00	3	\$253,623.00	3	\$253,623.00
Grand Total		6	\$1,806,710.75	33	\$971,220.10	39	\$2,777,930.85



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CDBG Sum of Actual Accomplishments by Activity Group and Accomplishment Type

Activity Group	Matrix Code	Accomplishment Type	Open Count	Completed Count	Program Year Totals
Economic Development	Micro-Enterprise Assistance (18C)	Persons	0	9	9
	Total Economic Development		0	9	9
Housing	Rehab; Single-Unit Residential (14A)	Housing Units	0	39	39
	Rehab; Multi-Unit Residential (14B)	Housing Units	0	28	28
	Rehabilitation Administration (14H)	Housing Units	0	1	1
	Total Housing		0	68	68
Public Facilities and Improvements	Neighborhood Facilities (03E)	Public Facilities	51,464	0	51,464
	Street Improvements (03K)	Persons	0	71,972	71,972
	Sidewalks (03L)	Public Facilities	25,096	1	25,097
	Total Public Facilities and Improvements		76,560	71,973	148,533
Public Services	Public Services (General) (05)	Persons	0	2,818	2,818
	Senior Services (05A)	Persons	0	1,337	1,337
	Legal Services (05C)	Persons	0	38	38
	Youth Services (05D)	Persons	0	161	161
	Battered and Abused Spouses (05G)	Persons	0	148	148
	Health Services (05M)	Persons	0	128	128
	Total Public Services		0	4,630	4,630
Grand Total			76,560	76,680	153,240



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CDBG Beneficiaries by Racial / Ethnic Category

Housing-Non Housing	Race	Total Persons	Total Hispanic		Total Hispanic Households
			Persons	Total Households	
Housing	White	0	0	56	16
	Black/African American	0	0	8	0
	Asian	0	0	3	0
	Other multi-racial	0	0	1	0
	Total Housing	0	0	68	16
Non Housing	White	3,342	1,857	0	0
	Black/African American	289	26	0	0
	Asian	565	4	0	0
	American Indian/Alaskan Native	35	8	0	0
	Native Hawaiian/Other Pacific Islander	255	0	0	0
	American Indian/Alaskan Native & White	10	6	0	0
	Asian & White	13	0	0	0
	Black/African American & White	8	1	0	0
	Other multi-racial	123	61	0	0
	Total Non Housing	4,640	1,963	0	0
Grand Total	White	3,342	1,857	56	16
	Black/African American	289	26	8	0
	Asian	565	4	3	0
	American Indian/Alaskan Native	35	8	0	0
	Native Hawaiian/Other Pacific Islander	255	0	0	0
	American Indian/Alaskan Native & White	10	6	0	0
	Asian & White	13	0	0	0
	Black/African American & White	8	1	0	0
	Other multi-racial	123	61	1	0
	Total Grand Total	4,640	1,963	68	16



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CDBG Beneficiaries by Income Category

	Income Levels	Owner Occupied	Renter Occupied	Persons
Housing	Extremely Low (<=30%)	3	9	0
	Low (>30% and <=50%)	1	19	0
	Mod (>50% and <=80%)	12	0	0
	Total Low-Mod	16	28	0
	Non Low-Mod (>80%)	0	0	0
	Total Beneficiaries	16	28	0
Non Housing	Extremely Low (<=30%)	0	0	1,735
	Low (>30% and <=50%)	0	0	845
	Mod (>50% and <=80%)	0	0	17
	Total Low-Mod	0	0	2,597
	Non Low-Mod (>80%)	0	0	0
	Total Beneficiaries	0	0	2,597



SUNNYVALE
 Home Disbursements and Unit Completions

Activity Type	Disbursed Amount	Units Completed	Units Occupied
Rentals	\$610,754.48	111	107
TBRA Families	\$0.00	11	11
First Time Homebuyers	\$44,960.21	2	2
Total, Rentals and TBRA	\$0.00	11	11
	\$610,754.48	111	107
Total, Homebuyers and Homeowners	\$44,960.21	2	2
Grand Total	\$0.00	11	11
	\$655,714.69	113	109

Home Unit Completions by Percent of Area Median Income

Activity Type	Units Completed				
	0% - 30%	31% - 50%	61% - 80%	Total 0% - 60%	Total 0% - 80%
Rentals	63	28	16	91	107
TBRA Families	11	0	0	11	11
First Time Homebuyers	0	1	1	1	2
Total, Rentals and TBRA	74	28	16	102	118
Total, Homebuyers and Homeowners	0	1	1	1	2
Grand Total	74	29	17	103	120



SUNNYVALE

Home Unit Reported As Vacant

<u>Activity Type</u>	<u>Reported as Vacant</u>
Rentals	4
TBRA Families	0
First Time Homebuyers	0
Total, Rentals and TBRA	4
Total, Homebuyers and Homeowners	0
Grand Total	<hr/> 4



SUNNYVALE

Home Unit Completions by Racial / Ethnic Category

	Rentals		TBRA Families		First Time Homebuyers	
	Units		Units		Units	
	Units Completed	Completed - Hispanics	Units Completed	Completed - Hispanics	Units Completed	Completed - Hispanics
White	45	13	5	3	1	0
Black/African American	8	0	3	0	0	0
Asian	51	0	2	0	1	0
American Indian/Alaskan Native	1	0	1	0	0	0
Native Hawaiian/Other Pacific Islander	1	0	0	0	0	0
Other multi-racial	1	0	0	0	0	0
Total	107	13	11	3	2	0

	Total, Rentals and TBRA		Total, Homebuyers and Homeowners		Grand Total	
	Units		Units		Units	
	Units Completed	Completed - Hispanics	Units Completed	Completed - Hispanics	Units Completed	Completed - Hispanics
White	50	16	1	0	51	16
Black/African American	11	0	0	0	11	0
Asian	53	0	1	0	54	0
American Indian/Alaskan Native	2	0	0	0	2	0
Native Hawaiian/Other Pacific Islander	1	0	0	0	1	0
Other multi-racial	1	0	0	0	1	0
Total	118	16	2	0	120	16

Annual Performance Report HOME Program

Submit this form on or before **December 31**. Send one copy to the appropriate HUD Field Office and one copy to:
HOME Program, Rm 7176, 451 7th

This report is for period:

Starting:
7/1/11

Ending:
6/30/12

Part I: Participant Identification

1. Participant Number M11-MC060222		2. Participant Name City of Sunnyvale	
3. Name of Person Completing Report Katrina L. Ardina		4. Phone No. (408) 730-7451	
5. Address P.O. Box 3707	6. City Sunnyvale	7. State CA	8. Zip Code 94088-3707

Part II: Program Income

Enter the following program income amounts for the reporting period: in block 1 the balance on hand at the beginning; in block 2 the amount generated; in block 3 the amount expended; and in block 4 the amount for Tenant-based rental Assistance.

1. Balance on Hand at Beginning of reporting Period: 0	2. Amount Received During Reporting Period: \$1,530,143	3. Total Amount Expended During Reporting Period: \$92,672	4. Amount Expended for Tenant-Based Rental Assistance: \$59,281	5. Balance on Hand at End of Reporting Period (1+ 2 - 3) = 5 \$1,437,471
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Part III: Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

		Minority Business Enterprises (MBE)					
		a. Total	b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	f. White Non-Hispanic
A. Contracts							
1. Number	1						1
2. Dollar Amount	318,889						
B. Sub-Contracts							
1. Number	1			1			
2. Dollar Amount	11,000						
		a. Total	b. Women Business Enterprises (WBE)	c. Male			
C. Contracts							
1. Number	1			1			1
2. Dollar Amount	318,889						
D. Sub-Contracts							
1. Number	1			1	1		
2. Dollar Amt.	11,000						

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$
B. Total dollar amount of contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, **Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.**

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

- HUD Field Office: Enter the Field Office name .
1. Recipient: Enter the name and address of the recipient submitting this report.
 2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
 3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
 - 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
 6. Reporting Period: Indicate the time period (months and year) this report covers.
 7. Date Report Submitted: Enter the appropriate date.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities

Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: (Mandatory Field) Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: (Mandatory Field) Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: (Mandatory Field) Enter the number of Section 3 residents that were trained in connection with this award.

Part II: Contract Opportunities

Block 1: Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Part III: Summary of Efforts – Self -explanatory

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$
B. Total dollar amount of contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

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- HUD Field Office: Enter the Field Office name .
1. Recipient: Enter the name and address of the recipient submitting this report.
 2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
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 6. Reporting Period: Indicate the time period (months and year) this report covers.
 7. Date Report Submitted: Enter the appropriate date.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

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Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: (Mandatory Field) Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: (Mandatory Field) Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: (Mandatory Field) Enter the number of Section 3 residents that were trained in connection with this award.

Part II: Contract Opportunities

Block 1: Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Part III: Summary of Efforts – Self -explanatory

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