



Sunnyvale

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[sunnyvale.ca.gov](http://sunnyvale.ca.gov)

April 10, 2019

To Whom It May Concern:

By publicly posting the following written disclosure, the City of Sunnyvale intends that market participants receive and use it for purposes of the independent registered municipal advisor exemption to Rule 15B of the Securities and Exchange Commission regarding Registration of Municipal Advisors (the SEC Municipal Advisor Rule).

The City of Sunnyvale has retained an independent registered municipal advisor. The City of Sunnyvale will rely on its municipal advisor, Ross Financial (primary contact Peter Ross; [rossfinancial@smkc.com](mailto:rossfinancial@smkc.com); 415-912-5612) to provide advice on proposals from financial services firms concerning the issuance of all municipal securities and municipal financial products. This certificate may be relied upon until March 31, 2020 and so long as the letter remains posted on the City of Sunnyvale website.

Proposals and other communication may be addressed to the City of Sunnyvale's Director of Finance, Timothy J. Kirby at 650 West Olive Avenue, Sunnyvale, CA 94086; [tkirby@sunnyvale.ca.gov](mailto:tkirby@sunnyvale.ca.gov); 408-730- 7603.

If the written proposal received will be seriously considered by the City of Sunnyvale, then the City of Sunnyvale will share the documents with its municipal advisor. Please note that aside from regulatorily mandated correspondence between an underwriter and municipal advisor, the underwriter should not speak directly with or send documents directly to the municipal advisor unless specifically directed to by the City of Sunnyvale.

Questions or requests for additional information regarding the City of Sunnyvale's relationship with its municipal advisor should be addressed to the City of Sunnyvale's Director of Finance.

Sincerely,

Timoth J. Kirby  
Director of Finance